OFFICIAL REPORT OF PROCEEDINGS

BEFORE THE

NATIONAL LABOR RELATIONS BOARD

In the Matter of:	Case No.:	03-CA-295810
STARBUCKS CORPORATION,		
Respondent,		
And		
WORKERS UNITED,		
Charging Party.		

Place: Buffalo, New York Dates: April 17, 2023 Pages: 1 through 168

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2	NATIONAL LABOR RELATIONS BOARD
3	: Case No.:
4	In the Matter of: : 03-CA-295810
5	STARBUCKS CORPORATION :
6	Respondent, :
7	And :
8	WORKERS UNITED :
9	Charging Party. :
10	:
11	
12	The above-entitled matter came on for hearing pursuant to
13	notice, before ROBERT RINGLER, Administrative Law Judge, at the
14	National Labor Relations Board, Region 3, 130 S Elmwood Avenue
15	Suite 630 Buffalo, New York 14202-2465, on Monday, April 17,
16	2023, at 1:03 p.m.
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2	WITNESS	DIRECT	CROSS	REDIRECT	RECOSS	VOIR DIRE
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1 PROCEEDINGS 2 (Time Noted: 1:03 p.m.JUDGE RINGLER: Okay. Let us get started. So this is our 3 -- our hearing is in order. This is our Starbucks Corporation 4 5 We've got about eight or 10 case numbers. I'll just read off the first case 03-CA-295810. 6 I am Judge Robert 7 Ringler. I don't know who this gentleman is in the background, 8 but we're on the record. Sir, we're on the record. Okay. All 9 right. 10 So as I said, Starbucks Corporation 03-CA-295810. Judge Robert Ringler, pleasure to make everyone's acquaintance 11 12 I'm assigned to the DC Division of Judges, hence, in person. any communication should go to that office, but I think you 13 14 folks already know that at this point. Let's serve our 15 appearances for the record. For the GC. 16 MR. GOODE: Daniel Goode D-a-n-i-e-l G-o-o-d-e, on behalf 17 of the General Counsel. 18 JUDGE RINGLER: All righty. 19 MS. SNELLING: Okay. Abigail Snelling for the GC, A-b-i-20 g-a-i-l, last name S-n-e-l-l-i-n-g. 21 JUDGE RINGLER: All right. Very good. And --22 MR. DOLCE: Michael Dolce from Hayes Dolce, M-i-c-h-a-e-l 23 D-o-l-c-e, for Charging Party, Workers United. 2.4 JUDGE RINGLER: All righty. And for Respondent, who do we 25 have?

1 MR. BALSAM: Ethan Balsam, B-a-l-s-a-m, we're all from 2 Littler Mendelson, on behalf of Starbucks Corporation. 3 JUDGE RINGLER: All right. William Whalen, W-h-a-l-e-n, on behalf of the 4 MR. WHALEN: 5 Respondent with Littler Mendelson. JUDGE RINGLER: 6 All right. 7 MS. DUPLECHAIN: Kimberly Duplechain D-u-p-l-e-c-h-a-i-n. 8 JUDGE RINGLER: One more time, I'm sorry. MS. DUPLECHAIN: D-u-p-l-e-c-h-a-i-n. 10 JUDGE RINGLER: C-h-a-i-n. For Starbucks, Respondent with Littler 11 MS. DUPLECHAIN: 12 Mendelson. 13 JUDGE RINGLER: All righty. 14 MR. WALTERS: Jerry Walters J-e-r-r-y, last name W-a-l-t-15 e-r-s, on behalf of Respondent, Starbucks. 16 JUDGE RINGLER: Okay. Also with Littler as well? 17 MR. WALTERS: Correct. 18 JUDGE RINGLER: All righty. Very good. Okay. So we're 19 set with our appearances for the record. Let's start with our 20 formal papers. Judge, I circulated our formal papers by email 21 MR. GOODE: 22 this morning to the parties. They are marked 1VVVV, V as in 23 Victor, that's 1VVVV with 1VVVV being the index and formal 2.4 description of the formal papers. They are inclusive and at 25 this time, Judge, I would offer those formal papers into the

1 record. 2 JUDGE RINGLER: Any objection to GC-1? No objection, Judge. I will note that we 3 MR. BALSAM: 4 would like to add to those formal papers. We have additional 5 documentation that we think needs to be part of the record that we'd like to offer --6 7 JUDGE RINGLER: Well, let me do this. I'm going to admit 8 If there's other exhibits, you can make them Respondent exhibits. 9 10 (General Counsel's Exhibit 1 is identified and received.) 11 JUDGE RINGLER: So what are you proposing you'd like to 12 add to the formal papers? So Judge, just a few minutes ago, we sent an 13 MR. BALSAM: email around with documents that were not included as part of 14 15 the Counsel for the General Counsel's formal papers, including 16 a variety of motions and orders that were issued. So we'd like to include those as well. 17 JUDGE RINGLER: 18 Okay. 19 MR. BALSAM: The email to that effect. 20 JUDGE RINGLER: So I bet you I received the email when I 21 was driving over from the hotel. 22 You probably got it six minutes ago. MR. BALSAM: 23 JUDGE RINGLER: Okay. So I have not seen it yet. 2.4 didn't even start the computer up yet. 25 MR. BALSAM: Correct.

1 JUDGE RINGLER: So for now, let's put that on hold. I'11 2 look at the email during a break or this evening. Do you have 3 paper copies? 4 MR. BALSAM: If that was something you would like, we were 5 trying to avoid printing them out. 6 JUDGE RINGLER: Not necessarily. If you had them now, I 7 could look now and deal with it more promptly. But other than 8 that, I'll look at the email. 9 MR. BALSAM: Okay. Fair enough. 10 JUDGE RINGLER: So I'll take a note of that. Judge, I would just like to note for the 11 12 record that the formal papers do include ALJ Exhibit 1, which 13 you had marked as your sequestration order. 14 JUDGE RINGLER: Yes. 15 MR. GOODE: So just --16 JUDGE RINGLER: Yeah. I asked you to do that, so I 17 figured that would be in there. But thank you. I appreciate you pointing that out. Okay. So I will take a look at your 18 19 email. And just specifically what are the just kind of broad 20 summary of what I'm looking at that was not included. 21 I'm opening the email now, Judge. MR. GOODE: 22 JUDGE RINGLER: Okay. 23 MR. GOODE: The third consolidated complaint, I believe 2.4 our answer to that, the subpoenas that the Counsel for General

Counsel served on the Starbucks Corporation, our petitions to

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1 revoke documents along -- and I believe, and additional email 2 correspondence between the parties relating to those motions 3 and orders. JUDGE RINGLER: All right. So let me take a look at that. 4 5 I mean, it would be my inclination that the underlying subpoena stuff, the PTR, and underlying email, that would be a 6 7 Respondent exhibit. That would be my inclination. But I'd be 8 surprised if the answer to the third consolidated complaint was 9 not in the formal papers. Is that accurate? Because that we 10 would obviously put in. 0:08:19.3 It was the answer to the first complaint, not 11 MR. WHALEN: 12 the third complaint. 13 JUDGE RINGLER: Oh, to the first. 14 MR. WHALEN: Yeah. I think I wrote down the third. 15 Okav. JUDGE RINGLER: 16 MR. GOODE: That's definitely an oversight on my part. I'm happy to correct that and include that in. 17 So why don't you work on that, 18 JUDGE RINGLER: Okay. 19 include that in, so that part will take care of, that belongs 20 in there for sure. The underlying discovery stuff that would typically be a Respondent exhibit, at least the way I deal with 21 22 it. 23 MR. GOODE: Fair enough. 2.4 JUDGE RINGLER: But either way, you'll get it in. So 25 we're fine on that front. All right. So we've got our formal

1 papers taken care of. Got homework for our GC of our answer. 2 Are there any preliminary motions or other issues we've got to deal with before we jump into our opening statements? 3 Judge, I have several. And I can just go one 4 MR. GOODE: 5 by one if you would like. 6 JUDGE RINGLER: Yeah, why don't you do that? 7 MR. GOODE: The first one is just for witness scheduling 8 purposes, just trying to get an understanding of what your days 9 typically look like, what time we'll begin, what time it'll 10 end? JUDGE RINGLER: My expectation today would be till 6. 11 12 MR. GOODE: Okay. 13 JUDGE RINGLER: And roughly tomorrow would be 9 to 14 6. 9 to 6. 15 MR. GOODE: Okay. 16 JUDGE RINGLER: Thought being that if we're in the middle of a witness and it's five to 6, if we can finish in 20 17 minutes, we'll stay longer. If it's 5:45 and we're done, then 18 19 we'll end. 20 MR. GOODE: Okay. JUDGE RINGLER: That kind of deal. 21 22 And I'll just apologize at the outset, MR. GOODE: Okay. 23 We have one witness scheduled for today. We had 2.4 another dealing with a -- we just recently learned a family has 25 severe family medical issue that that witness apparently can't

be here today. So I don't know that we'll make it to 6 today, so I do apologize.

JUDGE RINGLER: Well, if it's quitting time at 4, you're going to have to find another witness. So I'm letting you folks know that right now.

MR. GOODE: Okay.

2.4

JUDGE RINGLER: Yeah. And the thought being not to be difficult. If this were a two-week -- a two-day trial, rather, I'd be like, okay, that's fine. But given that we're talking about the GC's half of the case may be lasting two weeks, Respondent's another couple of weeks. We've got to be more diligent with the time, and use it to our benefit.

MR. GOODE: Okay. Judge, I will do my best to get somebody here. I apologize. Okay. So Judge, your sequestration, we have a question regarding your sequestration order --

JUDGE RINGLER: Yes.

MR. GOODE: -- and the scope of your order. There's discussion of this case publicly and we're wondering if you can give us an understanding of the scope of your sequestration order as it applies to potentially any statements made in a public forum.

JUDGE RINGLER: I will give some thought to that and get back to you on that front.

MR. GOODE: Okay.

1 JUDGE RINGLER: So specifically, what are we talking 2 about? 3 MR. GOODE: Well --JUDGE RINGLER: I mean, if we're talking about a witness 4 5 who has testified, then making a public statement about their 6 testimony, I mean, I think that would be potentially a 7 violation of the sequestration order. So if you're aware of 8 that, I think that's something that you should advise your 9 witnesses not to do. 10 MR. GOODE: Okay. JUDGE RINGLER: I mean, does that cover your question? 11 12 I'm not really sure. I don't think you're looking for me to 13 just sit here and talk about sequestration order. 14 specifically, --15 MR. GOODE: No. 16 JUDGE RINGLER: -- what else is your concern? MR. GOODE: No, I didn't know -- I mean, there's 17 18 discussion of the subject matter, I guess, of the complaint. 19 So does that -- any statements --20 JUDGE RINGLER: So the sequestration order relates --21 MR. GOODE: Just so I understand what I need to police, 22 Your Honor. 23 JUDGE RINGLER: Right. You've got to police your 2.4 witnesses specifically, and that's, I think, your obligation on 25 the sequestration order.

MR. GOODE: Okay.

2.4

MR. BALSAM: Judge, may I speak to that?

JUDGE RINGLER: Sure. Go ahead.

MR. BALSAM: Because we also have a concern about the sequestration order as drafted. There are spectators in the room today. We have -- there has been a tendency for Workers United and members affiliated with Workers United to record conversations. We request that your sequestration order prohibit anybody who's in this room from using their phones to make a recording of this proceeding. And also from using that in the public realm, including witnesses, for example, who may be going on to social media to talk about their testimony.

JUDGE RINGLER: All right. So I will let the folks know that if somebody intends to record something, they've got to put it to the court's attention. This is a public proceeding. Everyone could be here. But if there's an intention to record something, I would request that that be brought to my attention specifically so we can deal with it on a case by case basis.

It certainly is a public proceeding. I want people to participate. I want people to see what's going on. They're open proceedings. That's very important for the courts and democracy, and all of that. But if there's a surreptitious recording, that would be something that you'd have to bring to the court's attention. So I've mentioned it to the folks in our audience. It would be my expectation that Counsel, if

1 there are new folks in the audience, that Counsel would do 2 Counsel's best to police that. MR. BALSAM: One more point on that, Judge. 3 JUDGE RINGLER: 4 Yes. 5 Because there are going to be spectators MR. BALSAM: 6 coming, some of which may be witnesses in this proceeding. 7 would request that they identify themselves as they enter the 8 courtroom to ensure that --9 JUDGE RINGLER: So I don't know if there's a necessity for 10 the spectators to identify themselves. But it would be my 11 expectation once again, that Counsel turn around and you say, hey, I've got Wednesday's witness back there, that it is 12 Counsel's obligation to police to sequestration order and let 13 14 witnesses know. If all the folks that are sitting back there, 15 and I'll take your representation, are non-witnesses, that's a 16 hundred percent fine from my standpoint. Is that the case? Take a look, make sure. 17 One current, the party rep for the Union is 18 MS. SNELLING: 19 going to take the stand after we do our opening statements, and 20 she's currently in the room, but that --21 JUDGE RINGLER: And that's more than fine. 22 MS. SNELLING: Okay. 23 JUDGE RINGLER: All right. But beyond that, you've looked 2.4 and you're satisfied that we don't have any other witnesses in

the back of the room; is that correct?

25

1 MS. SNELLING: Yes. Yes, Your Honor.

JUDGE RINGLER: Okay. Good. All right. Did you have other concerns?

MR. GOODE: I just have I think a couple other, Judge. So this is related to Respondent's motion in limine and your email response to us, Your Honor. I'm trying to get a better understanding of Your Honor's, I guess, ruling or position on -

JUDGE RINGLER: Sure.

2.4

MR. GOODE: -- the Buffalo 1 record. So I think from the General Counsel's perspective, we see this complaint as a continuation of Buffalo 1. We have probably at least 10, potentially more, of the exact same witnesses. We are dealing with I think the exact same stores, many of Respondent's exact same players. There's going to be, from what I understand, some testimony that just simply picks up where it was left off in Buffalo 1.

And Your Honor, I understand that the record is voluminous. But we are simply -- we were prepared to ask simply that whether it's taking judicial notice of the record, incorporating by reference, however it might be, we would not intend that Your Honor be any way required to read through it as part of this case.

But we believe there's evidence in there that's already been presented in a board hearing and subject to cross examination. It's facts that we believe are relevant to these proceedings. And if that record is not available to us just to simply cite to, not for Your Honor to have to go through and read the whole thing, but if it's not available to us, then we're effectively going to have to be re-litigating facts that have already been put into a record.

JUDGE RINGLER: I understand that.

2.4

MR. GOODE: And so we're going to ask that Your Honor take judicial notice of that record. Again, just you give it whatever weight that you're going to accord it.

JUDGE RINGLER: Okay. So here's the problem with that.

Once we get to the point where Judge, here's this big stack of paper, and I'm showing about a foot long or so, I now have an obligation to course through that and relive a history that might not be necessary for me to decide this case. So I'm not going to do that.

Now, if there are exhibits that you offered in the earlier case and you need to offer such exhibits again, happy to let you do that. If there's a witness that you might have asked them some of the same background questions, obviously, if I'm not going to let you say, hey, Judge, here's the transcript, admit the transcript in its entirety, you're certainly allowed to ask the witness those same background questions. That's fine.

I just don't want to get into the standpoint where I don't

know quite where you're coming from because I'm focused on this transcript and this record. And there might be something you're hanging your hat on the earlier one that maybe you do tell us about, or you don't. I'd be concerned about that. I also don't think it's fair to Respondent to say, hey, they now have to have ownership over this second half.

2.4

So I'm not trying the history. Some of the history might be relevant in this one, and you could certainly repeat yourself and go into that. But jumping into the record in its entirety, I'm not willing to do. So just that's why I told you in the email, prepare your case accordingly. If there's some of the same exhibits and they're relevant now, I'd certainly be inclined to let those in. So just be aware of that.

MR. GOODE: Okay. And just for the purposes of the record, Your Honor, we understand and I'll move on. We would ask that Your Honor take judicial notice of Judge Rosas' ALJD.

JUDGE RINGLER: And I don't know with great certainty that that would be necessary for me to do that and make my findings in this case. And he is making all sorts of factual findings that I don't know that I can fairly do that without, once again, jumping into the entire record and the entire transcript.

So for the purposes of background evidence, I could certainly comment on my decision on Starbucks 1, Judge Rosas' decision. But in terms of his factual and legal findings, I

1 don't believe that I would appropriately take judicial notice 2 It's not a board decision yet, and it's just an ALJ of that. 3 decision. 4 MR. GOODE: Okay. And just so I understand this so I can 5 prepare our case, and if we had a witness's testimony in 6 Buffalo 1, you would not be prepared to just accept, if we 7 printed off that witness's testimony, that portion of the 8 transcript, because we believe it has background evidence. 9 JUDGE RINGLER: So no, because my thought is if you were 10 trying something in Buffalo 1 as an 8 (a) (1) violation, that that was put before Judge Rosas, that mine are new 8 (a) (1) 11 12 and 8 (a) (3) allegations. So the answer is no, but with 13 respect to background evidence, you could either stipulate with 14 Respondent or you could re-present it. 15 MR. GOODE: Okay. 16 MR. BALSAM: Judge, may I just for the record? Sure. Go ahead. 17 JUDGE RINGLER: 18 MR. BALSAM: We obviously object to the Counsel for the 19 General Counsel's efforts to --20 JUDGE RINGLER: Yep. Hence the motion. Yes. And I just want to note that it would be 21 MR. BALSAM: 22 entirely improper to rely on Judge Rosas' decision. There is 23 determinations that he made that we are filing exceptions on. 2.4 JUDGE RINGLER: Sure. 25 In a couple of days. And so it's subject to MR. BALSAM:

appeal, not final, not reliable credibility determinations that were made there. Not reliable in this case either. And you should be making your own credibility determinations.

JUDGE RINGLER: Right.

2.4

MR. BALSAM: And therefore should not be allowed any part of that record.

JUDGE RINGLER: I agree. However, we might have -- and this is just a for example, district manager testifies. I'm sure there's some duplication of their background evidence or that kind of thing, right? Number of stores, locations of stores, things like that. But this really, from my perspective, is a new trial, right? And we've got a whole host of other things that were not litigated otherwise, there'd already be a finding on. We wouldn't have to re-litigate those things.

So just really bear that in mind. It'll make it easier when everyone's writing their briefs. It'll make it easier when the Board is looking at this. It'll make it easier for Respondent Counsel, Judge, all the undersigned. So think of this as a new case. But if there's exhibits from the old case that you need, that they're relevant now, certainly I wouldn't hamstring you and stop you from presenting those.

MR. GOODE: Okay. The next thing, Your Honor, is just subpoena compliance. We received some production. I think our folks are trying to parse through it quickly. But I was hoping

1 for some discussion on the record about what's been provided. 2 JUDGE RINGLER: Okay. What hasn't been provided, and whether your 3 MR. GOODE: 4 order has been complied with. 5 JUDGE RINGLER: Okay. Go ahead, Counsel. 6 MR. BALSAM: Judge, just for historical purposes to make 7 sure we're all clear, Counsel for the General Counsel served us 8 in a Fourth Amendment consolidated complaint, two days later 9 served us another subpoena. We have three weeks to prepare for 10 this over our objections that this case continue into May. thought based on our initial conversation with you, Judge, that 11 we were going to proceed for this case in May, later on, that 12 13 that was not going to happen. We've done our best to comply with the subpoena. 14 15 produced, I believe, over 5,000 pages of documents this 16 We intend to produce another batch of documents in 17 the next few days, therefore, fully complying with the 18 subpoena. 19 Okay. So it's your expectation that by JUDGE RINGLER: 20 Wednesday, close of business --21 Thursday morning the latest. MR. BALSAM: 22 morning the latest. 23 JUDGE RINGLER: Okay. Thursday morning the latest, they 2.4 should have everything. 25 MR. BALSAM: Correct.

JUDGE RINGLER: Okay. Honestly, I think in light of the fact that we're going to be here for a couple of weeks for the first half, and there's an intervening weekend, that's fine. If Counsel for the General Counsel presents a witness, and then there's an exhibit you want to ask that witness about, again, certainly I'd allow you to recall that witness. But if it turns out being Thursday morning, I think we could all live with that.

2.4

MR. GOODE: Well, I would just note, Judge, that at the moment, I'm not depending -- especially I'll be completely transparent. We were thinking of our hours in the courtroom each day from like -- we were planning for 9 to 5 with your expectation that it's going to be 9 to 6.

JUDGE RINGLER: It is 9 to 5. I'm on Central Time. I'm joking. I live in Dallas, so I'm joking that I'm on Central Time. So it's 9 to 5 for me.

MR. GOODE: So no, no, no. That's fine, Judge.

JUDGE RINGLER: I'm getting it earlier for you.

MR. GOODE: I want to comply with your orders. There's a significant chance that we're going to be done earlier than we expected. I mean, I'm talking like Monday or Tuesday of next week. And as I understand how the production works or is working, Respondent has produced documents. But the way that they're being produced, we can't reasonably use those documents for several days because they're being produced as I understand

it, and they're not being produced in native format like we've asked in our subpoena. They're being produced in a way that is an individual page for -- so they're essentially image files right now, all we have access to.

So a 30-page document comes across as 30 individual pages, and we won't even be able to reasonably use this production for several days. So what we've got today, from what I understand, won't be meaningfully helpful to us for several days. So any future production that occurs potentially Thursday morning, we might not be able to use before our case in chief is done.

JUDGE RINGLER: Well, no.

2.4

MR. GOODE: And that I think --

JUDGE RINGLER: I think that in all fairness, that even based on what you're saying, if you get the stuff on Thursday, we're going to work on Friday, then we've got Saturday and Sunday for you folks to reconvene and work out the exhibits, and then you have the rest of week two to deal with those. So I think that -- I mean, is everything in lockstep protection?

But I think in terms of trying to be reasonable to them, trying to be reasonable to you, making sure you get what you want and you haven't rested until you've had a chance to look at it and present witnesses, I will do my best to try to do that. So just being realistic, they're saying Thursday morning is the earliest that they feel that you can get that, or the

latest that they feel that you can get that.

And realizing that if we stopped at 6:00, I appreciate you preparing witnesses. So we'll have that gap of time for you to look at that. I mean, am I going to say on that basis that I'm going to impose Bannon-Mills sanctions against them on that basis? No. I'm not going to do that.

My goal is for us to get a complete record and to not hamstring you in terms of looking at the exhibits. And I will do my absolute best to make sure I've been fair to you in that way.

MR. GOODE: Okay.

2.4

MR. BALSAM: Judge, may we respond?

JUDGE RINGLER: If you'd like to. Sure.

MR. BALSAM: So my colleague Kimberly Duplechain is our Discovery Counsel, and I think that's important to hear just in response to Counsel for General Counsel.

JUDGE RINGLER: Okay. Off to you, I'll start by saying that. But all right, go ahead if you would. Yes.

MS. DUPLECHAIN: Thanks Your --

JUDGE RINGLER: Update us on where things are at.

MS. DUPLECHAIN: Yeah. Thank you, Your Honor. I just wanted to clarify that the Board's subpoena does not actually ask for native productions. It asks for productions in a reasonably usable format. We produced those in a TIF format with a load file that contains metadata and searchable text.

1 They've received this type of load file in dozens of cases so 2 far without complaint. They are able to access those TIFs. They can actually 3 4 view them at their leisure. There is no impediment to them 5 viewing any of that data right now. Now, it might take them 6 some time to load it into their Relativity platform, but again, 7 they have access to and can view all of that information that 8 we've produced. I just wanted to say that this is how we 9 produce information in these cases. 10 JUDGE RINGLER: Okay. And from your perspective, what percentage of the documents that you will produce have you 11 12 already produced at this point? 13 MS. DUPLECHAIN: I'd say we've probably produced 60 14 percent. 15 60 percent, okay. And are you going to JUDGE RINGLER: 16 produce the rest in piecemeal or is it going to be here's the other 40 percent and we're done? 17 18 MS. DUPLECHAIN: No, Your Honor. We will be producing the 19 final supplemental with everything that's remaining on either 20 by Thursday morning at the latest. 21 JUDGE RINGLER: Okay. And just for the record, what is 22 the logic behind you doing that? 23 The logic behind the production? MS. DUPLECHAIN: 2.4 JUDGE RINGLER: Supplying the full 40 percent remaining as 25 opposed to piecemeal.

1 MS. DUPLECHAIN: We endeavored to get this done. 2 wanted everyone to have all the documents at hand. We need it, 3 they need it. So we just wanted to do. We couldn't do 4 everything at once. So we thought we'll do it in two trenches 5 to make sure that everyone's got everything they need by the 6 sort of midweek. 7 As I said, I'll do my best to JUDGE RINGLER: Okay. 8 accommodate you folks. And if it's, you know, Judge, we have two days more worth of testimony. Can we start on Tuesday? 9 I 10 might be open to doing that and giving you the addition of So once you see it, take a look at it. Give me your 11 best reasonable proposal that if you were sitting in my shoes, 12 that you'd be like, okay, this is a fair ask. 13 So then let's 14 take it from there. But I'll make sure you folks get a chance 15 to go through it certainly. 16 MR. GOODE: Okay. Thank you, Judge. 17 JUDGE RINGLER: Yes. The last thing that --18 MR. GOODE: 19 JUDGE RINGLER: And you shook your head at one point and 20 you were kind not to interrupt. And I appreciate that. MR. GOODE: I did. So the idea that we haven't objected 21 22 to this in other proceedings, I'm nearly certain we've objected 23 to this in all proceedings, Judge. 2.4 JUDGE RINGLER: Okav. 25 MR. GOODE: Now, I can't say all. I'm not there at all.

But I know recently in a Starbucks trial in Ithaca, we have objected to production.

JUDGE RINGLER: Okay.

2.4

MR. GOODE: We've objected to the way that things are being produced in other parts of the country. And yes, eventually we have the time. Once that production is loaded correctly and into our system, we do have the searchable features. But the way this is normally produced, as I understand it, typical federal court litigation, well, discovery comes in well in advance of the hearing.

In the way that our system -- the way that we prosecute these cases, it's a much more truncated timeframe. So we aren't -- we will not, from what I understand, be able to reasonably use these documents then. We won't. I mean, we are going to get a -- we are going to be able to piece like --

JUDGE RINGLER: I understand.

MR. GOODE: -- what each individual document and not know what it is. We can't search it. And that's why I shook my head. And that's the problem, Your Honor.

JUDGE RINGLER: I've gotcha. But you'll have a pocket of time to make sure that you get that chance. And unfortunately, it works both ways, right? You get your discovery last minute, they don't know who the witnesses are. It's sort of the way -- that's the way it unfolds. Right, wrong, or indifferent.

MR. BALSAM: Judge, I just want to clarify that in Buffalo

1, this is the production method that they asked for. So we're just doing the same way we did with Buffalo 1.

JUDGE RINGLER: And you're kind of doing it the same way.

MR. BALSAM: Exactly.

2.4

JUDGE RINGLER: Okay. Fair enough. We've kind of, I think, we are beating a dead horse here, so thank you. Yes.

MR. GOODE: The last thing I have, Judge, is before we present witnesses and to understand, so we're not covering ground, it doesn't need to be covered, Respondent's -- so we've alleged that in paragraphs 11 (d), 11 (h), 11 (n), 11 (s), and 11 (w) that Respondent did not provide pre-implementation notice and an opportunity to bargain over the corresponding disciplines.

Respondent's answer is the pleading constitutes legal conclusions and arguments for which no answer is required, therefore, it's denied. In prior answers, in the second answer, the answer to the second consolidated complaint, answer to the third consolidated complaint, Respondent admitted those answers -- admitted those paragraphs that the answer has changed.

And what I'm trying to do is I'd like to get a better understanding of what Respondent's answer is on the complaint, because ultimately, what I would like is a factual stipulation that Respondent did in fact not provide notice and pre-implementation bargaining. Because I don't believe that's

disputed.

2.4

I thought that stipulation was rejected. And so I'm hoping to get clarification on, I guess, a better understanding of what that answer is. So we're not in a position we're trying to prove a negative and asking a bunch of questions that really aren't in dispute.

JUDGE RINGLER: Okay. So they are indicating that in an earlier iteration of your answer that you admitted this.

MR. GOODE: I apologize, Judge. We added three additional ones. So the ones that are currently in 11 (d) and 11 (h) were in our prior complaints. It's those that were admitted in our fourth consolidated complaint, we added (n), (s), (w), but it's the exact same allegation.

JUDGE RINGLER: Okay. Okay. So I think, so Respondent has the right to change their answer. You might feel that, boy, we admitted it before, and from our perspective, we don't have to bargain over it anyway under current board law. So therefore, it's no big deal and we didn't give them notice. So it might be something we don't have to prove. Do you folks want to take a look at that again?

MR. BALSAM: No. I think that from my perspective, when a new complaint is issued and amended or complaint is amended, if that last complaint is a nullity, our answer is a nullity, it's as if it never existed.

JUDGE RINGLER: Sure. Okay. But I think that the

1 question really is this is something that you admitted before. 2 Are you willing to admit again --MR. BALSAM: All right. But at the same time, we've also 3 4 filed a motion to dismiss that is still pending before the 5 board on this particular issue. 6 JUDGE RINGLER: Okay. So you feel that -- so they're 7 But really from your perspective, once again, saying that no. 8 I try to be pragmatic on these things. You get your Union rep 9 up there, did you receive notice of this? Did you bargain? 10 It's probably our discussion now took a lot longer than it probably would in reality take for you to prevent any of these 11 12 So I'll take that under advisement. things. 13 MR. GOODE: We'll do, Judge. 14 JUDGE RINGLER: Okay. 15 MR. GOODE: That's all I have, Judge. 16 JUDGE RINGLER: Okay. Okay. All right, so that one we talked about. I owe an answer on the -- well, I guess I don't 17 18 owe an answer on the email. You're going to just prepare 19 Respondent exhibits on the underlying subpoena documents. 20 that where we left at? 21 MR. BALSAM: I'm sorry, Judge. 22 JUDGE RINGLER: We at the very -- we've had a lot of 23 discussions, a lot of water under the bridge since that point, 2.4 but we talked about your underlying discovery documents.

wanted to offer those into evidence.

25

1 MR. BALSAM: Oh yeah. 2 JUDGE RINGLER: I'm just saying make them Respondent 3 exhibits and we'll deal with those. 4 MR. BALSAM: It's the pre-hearing pleadings that were 5 filed in this case that the Counsel for the General Counsel did 6 not include in their formal papers. So we would like to add 7 our as an exhibit. 8 JUDGE RINGLER: Right. And I suggested just make those 9 Respondent exhibits and we'll deal with those once you do. 10 MR. BALSAM: That's fine. Fair enough. 11 JUDGE RINGLER: Okay. 12 MR. BALSAM: Thank you. 13 JUDGE RINGLER: And that'll be fine. No, you're welcome And then in terms of our -- we were missing an 14 on that. 15 I initially wrote down third, then I changed to first. 16 But Counsel for the General Counsel's going to clean that up 17 and that's important and you want to clean that up. covered that as well. Scheduling we talked about. Okay, good. 18 19 So time for openings? 20 Yes, Judge. MR. GOODE: 21 MS. SNELLING: Yes. 22 Okay. Who's next on the hit list. JUDGE RINGLER: 23 MR. BALSAM: Judge, before you -- if I may, I think we 2.4 want to clarify the scheduling situation here. When we met for 25 our prehearing conference, it was indicated that we would have

1 a break before we have to call our witnesses. 2 JUDGE RINGLER: Yes. In fact, because they are all over the 3 MR. BALSAM: 4 country. 5 JUDGE RINGLER: And that still applies. 6 MR. BALSAM: Okay, good. So I just want to make sure that 7 they're --8 JUDGE RINGLER: That still applies. My thought is I'm here for the two weeks to cover Counsel for the General 9 10 Counsel's case. If it's less, God bless, that's wonderful. 11 And then we'll pick another two-week period for you folks to come back does that help. 12 13 MR. BALSAM: Does it help everyone to do that now? 14 Because as schedules start to get cumbersome and difficult --15 JUDGE RINGLER: You could propose the two-week periods to 16 I'd have to call back home, just have my spouse take a 17 look at the desk calendar that I have. I don't have it in 18 front of me, so --19 MR. BALSAM: Okay. 20 JUDGE RINGLER: And make sure that those are fine in terms I had something written down in terms of 21 of two weeks. 22 conference or something else. But do you have two-week periods 23 that you want to propose? We can go off the record for a 2.4 moment and deal with that. 25 It doesn't make sense for us to confer off MR. BALSAM:

record after this and propose --

2.4

JUDGE RINGLER: Yeah, that's what I was suggesting if we went off. Okay, we'll continue. So you folks talk about that and we'll pick out our part two of this for sure. Okay, so we're still on, right? We didn't go off. Good. Okay. Thank you for that.

MR. BALSAM: Judge, if I may, I have another point.

Because our motion to dismiss is still pending with the Board, we request that you stay any testimony on the issues that are pending before the Board and our motion to dismiss, which includes the alleged Weingarten right violation as well as the pre-implementation.

JUDGE RINGLER: I'm not going to do that. No.

MR. BALSAM: May I ask why?

JUDGE RINGLER: Because it's part of the complaint that I'm trying, so I'm going to try the entire complaint.

MR. BALSAM: Okay.

JUDGE RINGLER: And if the Board -- they know we started today, if they want to say stop the presses, they could certainly say stop the presses. So okay. Good. Opening statements. Okay.

MS. SNELLING: Your Honor, this case begins back in August of 2021. At that time, Buffalo area Starbucks employees wrote a letter to then CEO of Starbucks stating their intent to unionize stores in the Buffalo area and begin their Union

campaign.

2.4

Since that campaign started more than a year and a half ago, there are now officially 300 unionized Starbucks stores in the country as of a few days ago. The first unionized Starbucks store is a mere two miles north from here right up Elmwood Avenue. The Unionization efforts here in Buffalo were met with tremendous pushback and resistance from Respondent.

Respondent deployed numerous tactics to deter Union activity within their stores here in Buffalo and later all over the country. Many of those tactics Respondent used led them to be on trial last summer here in Buffalo as their tactics resulted in hundreds of unfair labor practices.

Respondent continued to use those same Union busting tactics in the Buffalo and Rochester area stores against pro-Union employees in Union and non-Union stores in the western New York area. Their continuation of these tactics is what brings us here today.

Throughout this hearing, you'll hear from around two dozen individuals across nine different stores in the Buffalo area and one store in the Rochester area. They will testify to the unfair labor practices they witnessed and that they were subject to by Respondent.

The 10 stores that these unfair labor practices took place at are the Elmwood Ave store, Sheridan and Bailey, Genesee Street, Transit Commons, Williamsville Place, Delaware and

Chippewa, Camp Road, East Robinson, Tonawanda, and Penfield.

2.4

You will hear testimony that the Respondent packed the Williamsville voting unit by limiting employee's ability to transfer to the Williamsville store. The evidence will show Respondent specifically transferred anti-Union employees to the store while at the same time they transferred pro-Union employees to an already unionized store in a blatant attempt to influence this store's Union election.

You will hear testimony that Respondent instructed an employee at the Transit Commons store to remove a Union pin despite the employee being in compliance with the employer's pin policy. This is not the first time Respondent disparately enforced its pin policy and evidence will show Respondent continued to do so.

You will hear testimony that Respondent disparately and more strictly enforced the pin policy against a very outspoken Union supporter, William Westlake, at the Camp Road store.

They sent him home from shifts, disciplined him disparately, and eventually terminated Westlake.

You will hear testimony that Respondent threatened the closure of the Elmwood Ave store, the first unionized store in the country after its employees went on strike for working conditions.

You will hear testimony that Respondent threatened more onerous terms of employment of lower staffing levels at the

Unionized Sheridan and Bailey locations and stated that this was because employees chose to unionize. You'll hear at the same store that employees that did not engage in striking would be treated better than those that chose to strike and baselessly accused striking employees of stealing bags of coffee.

2.4

You'll hear testimony that Respondent refused to abide by a past practice of closing the store early for July 4th because employees chose to unionize. And further, you'll hear Respondent blamed the Union for the delay in the beginning of bargaining sessions.

You'll hear testimony that Sheridan and Bailey employees were subject to a new disciplinary policy called the Three Strikes Rule without any notice or bargaining of this change. And no, the employees were not playing baseball when this rule was enacted.

You'll hear testimony that Respondent would remove Union literature from non-work areas prior to the Penfield Store Union election. You'll hear that Respondent held one-on-one captive audience meetings and threatened employees with a loss of benefits if they were to unionize.

You'll hear Respondent disparately and selectively enforced the transfer policy by denying pro-Union employees their request to transfer to other stores. Evidence will show Respondent has given numerous disciplines that targeted pro-

Union employees as evidenced by Respondent more strictly enforcing rules such as civility, dress code, attendance, and punctuality.

2.4

Evidence and testimony will show Respondent violated an employee's Weingarten rights when a disciplinary meeting turned investigatory. Evidence will show Respondent has terminated 10 employees for supporting the Union. These ex-employees are Vic Conklin, Sam Amato, Tatiayna Gurskiy, Cole Graziano, Michael Sanabria, William Westlake, Sariah Hakes, Connor Mauche, Jovan Draves, and Allegra Anastasi.

Among these individuals was a 13-year employee who was terminated for closing the store cafe. Something he did not do and something the Respondent know that he didn't do. You will hear testimony from another partner that was terminated for simply attending his grandmother's funeral. Specifics aside, all these employees were terminated for their pro-Union stance.

In addition to 10 discharges, you will hear that
Respondent knowingly created untenable working conditions and
constructively discharged Jaz Brisack, one of the lead
organizers of the Starbucks Workers United campaign and an
employee of the Elmwood Ave Store.

Lastly, evidence and testimony will show the Respondent failed to provide notice to unionized stores and failed to bargain with unionized stores over much of these disciplines and terminations.

Respondent has once again found itself in an NLRB hearing, the third hearing in Region 3 within a year with a fourth hearing starting next week. This case alleges dozens of allegations running the gamut of unfair labor practice violations, including those found in Sections 8 (a) (1), (3), and (5) of the National Labor Relations Act.

Former CEO and chairman of Starbucks, Howard Schultz, in a

Former CEO and chairman of Starbucks, Howard Schultz, in a Senate committee hearing recently stated that Starbucks is built on the premise to respect workers. However, you will see these sentiments do not extend to pro-Union employees and they especially do not extend to the employees in the Western New York stores that started this far-reaching Union campaign.

To the extent there's any doubt about Schultz's feeling on union salting, which is protected activity, Howard Schultz said, if that's not a nefarious act, then I don't know what is. Respondent's actions show a blatant disrespect for workers and their workplace rights under the NLRA. We simply ask Respondent to be held accountable for its workplace injustices. Thank you.

JUDGE RINGLER: Okay. Thank you as well. Anything from our Charging Party?

MR. DOLCE: Yes, absolutely.

JUDGE RINGLER: Okay.

2.4

MR. DOLCE: Thank you, Your Honor.

JUDGE RINGLER: Go ahead, sir.

MR. DOLCE: We are here today to litigate another expansive case in which Starbucks is charged with dozens more labor law violations in response to its worker's historic Union campaign. Last summer, we were across the street litigating many similar issues with many of the same players, a case which resulted in a 204-page decision finding egregious and widespread misconduct on behalf of the company. Yet, Starbucks has decided to become even more aggressive and disparate in its anti-Union campaign, terminating Union leaders throughout Western New York on top of many other violations.

2.4

Despite the ramp up in aggression and desperation, the company has never changed its fundamental strategy to semi organizing activity and to break the Union, firing key Union supporters who were prominent in both the Buffalo and national organizing campaigns, disciplining others either to send the same message or to lay the groundwork for further terminations. Targeting the Elmwood Avenue store, the first Union store in the country with petty disciplines for laughable offenses.

In one situation, there were five disciplines over the course of five days. Many of these things no Starbucks employee had ever been disciplined for before. The company has moved workers around in attempt to sway elections, made threats about unionizing, both big and small, interrogated workers, lied about pro-Union workers, removed pro-Union literature and ordered workers to remove Union pins, made up rules or enforced

old rules to get rid of Union leaders.

2.4

Company's representatives did everything they could to punish workers who had already voted to unionize, especially those who were openly pro-Union and many that were sitting across from the company at the bargaining table.

What Starbucks is doing here and around the country is nothing less than one of the worst anti-Union campaigns in the country's history with complete disregard for worker's rights and the company's duties under the law. Even with all the action that's been taken, dozens of complaints encompassing hundreds of charges and thousands of violations, the company continues its rampant illegal activity.

On the heels of Judge Rosas' 204-page decision finding egregious and systematic anti-Union behavior in the Buffalo area from August '21 through spring '22, recently departed Starbucks CEO Howard Schultz took the stand in front of the Senate HELP committee and proclaimed Starbucks has never violated the law, that they were mere allegations against the company.

There's only one conclusion to draw from the company continuing to behave like this. Starbucks thinks you, everyone sitting in this office and the entire agency, is a joke. Starbucks believes its worker's right to organize and bargain collectively is a conspiracy rather than black letter federal labor law.

1 The only thing that will eventually stop Starbucks is 2 multiple ALJ decisions describing the violations in the most 3 robust way and imposing the heaviest, most aggressive, most 4 extraordinary remedies that are available under the law. 5 remedies are what the GC is seeking and what is required in 6 light of extraordinary violations and the company's obvious contempt for our nation's laws. Thank you. 8 JUDGE RINGLER: Okay. Thank you as well, sir. All right. 9 For Respondent? 10 MR. BALSAM: We'll defer until case in chief. Okay. All right. Well, we're ready to 11 JUDGE RINGLER: 12 start with our first witness. Do you folks want to go off the record for just five minutes before we start? 13 14 MR. GOODE: Sure. Sure, Your Honor. 15 MS. SNELLING: 16 MR. GOODE: Judge, may we have 10 just so I can confer? 17 can -- again, I'll be transparent with you. I did -- I've got to find -- to comply with your order, I've got to find a 18 19 witness. 20 JUDGE RINGLER: You've got to find the second person. Ten's fine. Absolutely. We'll take 10. 21 Judge, if I may, I mean, if we are going to be 22 MR. GOODE: 23 going into Tuesday or Wednesday of next week, I respect Your 2.4 Honor's order for today. If we are not able to secure a second 25 witness, I will do my best. I really will.

```
1
         JUDGE RINGLER: Okay.
                                 Okay.
                                        Now, that you know my
 2
    thoughts about it, I'm going to ask you to do your best.
 3
         MR. GOODE: Yep.
         JUDGE RINGLER: Good faith, best effort.
 4
 5
         MR. GOODE:
                      Yep.
                                  If 4:00 rolls around, you're like,
 6
         JUDGE RINGLER:
                         Right.
 7
    Judge, we didn't realize you were going to want that.
 8
    called a bunch of witnesses and we couldn't find anybody
 9
    amongst the 25 that could show up here. And we can't present.
10
    Am I going to say to you present a witness or rest?
11
    not going to say that to you.
                                    I mean, that would be
12
                 But now that you know what the game plan is going
    ridiculous.
    forward --
13
14
                      Going forward without a doubt, Judge.
         MR. GOODE:
15
         JUDGE RINGLER:
                          -- deal with that. But please try to find
16
    somebody for today.
                          But if you can't, I'm not going to make
17
    you rest your case.
18
         MR. GOODE:
                     We'll do, Judge.
19
         JUDGE RINGLER: All right.
20
         MR. GOODE:
                      Thank you.
21
         MS. SNELLING:
                         Thank you.
22
         JUDGE RINGLER:
                          All right.
23
                      So we have 10, Judge?
         MR. GOODE:
2.4
         JUDGE RINGLER: We've 10 minutes. Yeah.
                                                     So that's pretty
25
                                                Did I switch Central
    much 2 even, so -- or 3 even.
                                    I'm sorry.
```

```
1
    on 2?
           Yeah.
                  I'm confusing whether I switched though or not.
 2
    Yes, 2:00. We're off the record until then.
 3
    (Off the record from 1:49 p.m. to 2:02 p.m.)
         JUDGE RINGLER: Back on? Okay. Perfect.
 4
                                                     Okay.
                                                            So we
 5
    are back on the record in our Starbucks, Workers United case
 6
    ready for our first witness.
                                  So who do we have?
 7
         MS. SNELLING:
                        Okay.
                               General Counsel calls Victoria
 8
    Conklin to the stand.
         JUDGE RINGLER:
 9
                         Okay, go on. All right.
                                                   Perfect.
10
    got Ms. Conklin, so make yourself comfortable. And I know that
    I mentioned during the pre-hearing conference for each witness,
11
12
    I want you to identify what complaint paragraphs they're going
13
    to testify by.
14
         MS. SNELLING: Yes, Your Honor.
15
         JUDGE RINGLER: You don't have to yet.
                                                 Let's swear in
16
            Okay. So easy question. First, can you state your
    name for the record and then spell it for us, first and last.
17
         THE WITNESS: It's Victoria Conklin V-i-c-t-o-r-i-a C-o-n-
18
19
    k-l-i-n.
20
         JUDGE RINGLER: All right, very good.
21
    Whereupon,
22
                            VICTORIA CONKLIN,
23
    was called as a witness by and on behalf of the General
2.4
    Counsel, and having been first duly sworn, was examined and
25
    testified on her oath, as follows:
```

```
1
         JUDGE RINGLER: All right. And more than fine, you
 2
    brought water up there, that's a hundred percent fine. So any
 3
    witnesses that want to bring up water bottle or a cup of
 4
    coffee, whatever, that's A-okay. So I don't need to ask.
 5
         MS. SNELLING:
                         Thanks, Your Honor.
 6
         JUDGE RINGLER:
                          Okay.
                                 So Ms. Conklin, what is she going
 7
    to testify about? What complaint paragraphs?
 8
         MS. SNELLING:
                         Okay.
                                Ms. Conklin is going to testify
    about transfer denials in complaint Section 9 (a) (i).
 9
10
         JUDGE RINGLER:
                          9 (a) (ii)?
         MS. SNELLING:
11
                         Just one i.
12
         JUDGE RINGLER: Okay. 9 (a) and (i).
13
         MS. SNELLING:
                         Yes, (i). Yes.
14
         JUDGE RINGLER:
                         All right.
                         And then also about her final written
15
         MS. SNELLING:
16
    warning, complaint Section 9 little i and then two little i's.
17
    And then she's also going to testify to complaint Section 9 (j)
    and she's within a chart on that section of the complaint, Your
18
19
    Honor. And I don't think that I marked which section in the
20
    chart she's on, but that's for her termination.
21
         JUDGE RINGLER:
                         Perfect.
22
         MS. SNELLING:
                         Okay.
23
         JUDGE RINGLER: And just to make it easier for you,
2.4
    because I see that you've got a lot of different charts here
25
    that have little i, two i's, and then three i's.
                                                       For those, if
```

```
1
    you simply want to tell me, Judge, she's going to testify about
 2
    paragraph 9 (a) just to make the record very clean --
         MS. SNELLING:
 3
                         Okay.
         JUDGE RINGLER: -- and 9 (i), more than fine. And that
 4
 5
    way we won't complicate it with the --
 6
         MS. SNELLING:
                         With the little --
 7
         JUDGE RINGLER:
                         -- little subsets that you've got.
 8
         MS. SNELLING:
                         Okay.
 9
         JUDGE RINGLER:
                          So that'll be perfect and I think cleaner.
10
         MS. SNELLING:
                         Okay.
11
         JUDGE RINGLER:
                          So with respect to that 9 (a) and 9 (i),
12
    right? Am I right?
13
         MS. SNELLING:
                         Yes, 9 (j) as well.
14
         JUDGE RINGLER:
                          And 9(j) as well.
                                               Okay.
15
         MS. SNELLING:
                         Okay.
16
         JUDGE RINGLER:
                          And perfect. And that rule would apply to
17
    Respondent as well.
                          It'll make a cleaner record for you also.
18
         MR. BALSAM:
                       Understood, Judge.
19
         JUDGE RINGLER:
                                Okay. Go to it.
                          Yes.
20
                            DIRECT EXAMINATION
    BY MS. SNELLING:
21
         Okay. Good afternoon, Victoria. How are you?
22
    Q.
23
         I'm good, how are you?
    Α.
2.4
               Victoria, what are your pronouns?
    Ο.
25
         She/her.
    Α.
```

- 1 Q. Okay. And have you ever testified in an NLRB hearing?
- 2 A. Yes.
- 3 Q. When?
- 4 A. Last summer.
- 5 Q. Okay. Summer of 2022?
- 6 A. Yes.
- 7 Q. Perfect. And where do you currently work?
- 8 A. Olive Garden.
- 9 Q. Okay. And when did you start working at Olive Garden?
- 10 A. I would say a month ago, so March of 2023.
- 11 Q. Okay. Did you ever work for Starbucks Corporation?
- 12 A. I did.
- 13 Q. When did you work for Starbucks?
- 14 A. I was hired June 30th, 2017, and I was terminated June
- 15 22nd, 2022.
- 16 Q. Okay. What position did you hold when you worked for
- 17 Starbucks?
- 18 A. I was hired on as a barista and then later promoted to
- 19 shift supervisor.
- 20 Q. Okay. When were you promoted to shift supervisor?
- 21 A. Sometime in the fall of 2019.
- 22 Q. Okay. And what store did you work at while employed by
- 23 Starbucks?
- 24 A. I was hired at the Walden Galleria kiosk and then later
- 25 transferred to the East Robinson store.

- 1 Q. Okay. When did you transfer to the East Robinson store?
- 2 A. I would say March, 2021.
- 3 Q. Okay. And how many hours a week on average did you work?
- 4 A. Typically around 30 and 35.
- 5 Q. Okay. And what days did you typically work?
- 6 A. I had open availability almost every day. I couldn't work
- 7 Tuesdays after I think 4:00 p.m. and then I had Sundays
- 8 completely unavailable.
- 9 Q. Okay. And what shift did you typically work?
- 10 A. Mid two closes.
- 11 Q. Okay. Are you familiar with the Union Workers United?
- 12 A. I am.
- 13 Q. Okay. When did you become familiar with Workers United?
- 14 A. August of 2021.
- 15 O. Okay. And how?
- 16 A. The initial Dear Kevin Letter went public and I saw it on
- 17 Twitter.
- 18 O. Did you ever become involved with the Union?
- 19 A. I did.
- 20 Q. Okay. And when?
- 21 A. January of 2022.
- 22 Q. Okay. And how did you become involved?
- 23 A. I joined the Buffalo Organizing Committee and then I
- 24 started talking to my coworkers about organizing our store.
- 25 Q. Okay. And did you ever show support for the Union while

1 working?

- 2 A. Yes.
- MR. BALSAM: Objection. Leading.
- 4 JUDGE RINGLER: Overruled. You can answer.
- 5 THE WITNESS: Yes, I did.
- 6 BY MS. SNELLING:
- 7 Q. And how?
- 8 A. I would wear a pin on my apron every day I worked and then
- 9 I would talk to a lot of my coworkers on the floor about the
- 10 Union.
- 11 Q. Okay. And the last store that you worked at, East
- 12 Robinson, was that unionized?
- 13 A. Yes.
- 14 Q. And when did your store petition to take a Union vote?
- 15 A. I believe April 18th, 2021.
- 16 Q. Okay. And did you let management know you petitioned for
- an election in -- or did you let management know that you
- 18 petitioned for an election?
- 19 A. I did.
- 20 Q. Okay. And how did you let management know that you
- 21 petitioned for a Union vote?
- 22 A. I verbally told my store manager.
- 23 Q. Okay. Was there anything else that you did?
- 24 A. I told all my coworkers on the floor also that we had
- 25 petitioned for the vote.

1 Q. Okay. 2 JUDGE RINGLER: So hang on one second. So you told your 3 store manager, but you didn't tell us your store manager's 4 name. 5 THE WITNESS: Oh, I'm sorry. It was Keitta Clark. JUDGE RINGLER: Keitta Clark? 6 7 THE WITNESS: Yeah. K-e-i-t-t-a and then Clark. 8 JUDGE RINGLER: K-e-i-t-t-a and then Clark. 9 THE WITNESS: Yes. 10 JUDGE RINGLER: Okay. Sorry to interrupt, Counsel, but I 11 thought that was important to point out. 12 MS. SNELLING: That's okay. Thanks, Your Honor. JUDGE RINGLER: 13 Yes. BY MS. SNELLING: 14 15 Okay. Did your store ever send a letter announcing that Ο. 16 they're unionizing? 17 Α. Yes. Objection. Leading. 18 MR. BALSAM: 19 MS. SNELLING: Okay. 20 JUDGE RINGLER: Overruled. I'm suspecting that this is 21 not going to be a matter that's in controversy, which you could 22 lead on such matters, so it's fine. 23 MS. SNELLING: Okay. 2.4 JUDGE RINGLER: Go ahead.

THE WITNESS: Yes, we did.

25

1 BY MS. SNELLING:

- 2 Q. Okay. And what, if anything, did you do to the letter?
- 3 A. I was the one who wrote it. I asked my coworkers to read,
- 4 make any edits, and put their name on it. And I also signed my
- 5 name.
- 6 Q. Okay. And if I showed you a copy of the letter, would you
- 7 recognize it?
- 8 A. Absolutely.
- 9 MS. SNELLING: Your Honor, I'm distributing what's marked
- 10 GC Exhibit 2.
- 11 JUDGE RINGLER: Yes, absolutely.
- MS. SNELLING: Thank you.
- JUDGE RINGLER: Thank you as well.
- MS. SNELLING: So the Court Reporter's copy, I'm sure if
- 15 you want it, it'll be emailed.
- 16 JUDGE RINGLER: So just housekeeping, in addition to the
- 17 paper copies, you're providing PDFs of all the exhibits to our
- 18 | Court Reporter?
- 19 MS. SNELLING: Yes.
- JUDGE RINGLER: Correct.
- MS. SNELLING: And I guess along with housekeeping, when
- 22 would the court reporter like the exhibits?
- JUDGE RINGLER: We could ask.
- 24 COURT REPORTER: Pardon me?
- MS. SNELLING: When would you like us to send you the

- 50 1 exhibit via email? 2 COURT REPORTER: As soon as you can, I guess. MS. SNELLING: 3 Okay. 4 JUDGE RINGLER: In real time we'll say. 5 MS. SNELLING: Okay. 6 JUDGE RINGLER: Until we get a better answer. 7 MS. SNELLING: Sounds good. Okay. 8 BY MS. SNELLING: 9 Vic, do you recognize this? Ο. Okay. 10 I do. Α. What is it? 11 Q. Okay. 12 It's the Dear Howard letter that we sent the day we filed
- (General Counsel's GC-2 identified) 14
- 15 Ο. Okay. And on the second page, do you see your name?
- 16 I do. Α.

13

17 Q. Okay. And where is it?

for our Union election.

- It is the first one. 18 Α.
- 19 Q. Okay, great. And so is this a true and accurate copy to
- the best of your recollection? 20
- 21 Yes. Α.
- I move GC Exhibit 2 into evidence. 22 MS. SNELLING: Okay.
- 23 Any objection or voir dire? JUDGE RINGLER:
- 2.4 MR. BALSAM: No objection.
- 25 So we'll admit GC Exhibit 2 or JUDGE RINGLER: All right.

- 1 Dear Howard letter.
- 2 (General Counsel's Exhibit 2 is received.)
- 3 BY MS. SNELLING:
- 4 Q. Okay. And Victoria, by what method did employees vote in
- 5 the Union election?
- 6 A. We did mail-in ballots.
- 7 Q. Okay. And what was the result of the election?
- 8 A. It was seven to four in favor of unionizing.
- 9 Q. Okay. And were the results of the election certified?
- 10 A. Yes.
- 11 Q. Okay. And when were they certified?
- 12 A. I believe sometime in July of 2022. I'm not entirely sure
- 13 on the date.
- 14 Q. Okay. You mentioned previously that you were terminated.
- 15 At what time were you terminated in proximity to your Union
- 16 election?
- 17 A. It was six days after.
- 18 Q. Six days after what?
- 19 A. We voted to unionize.
- 20 Q. Okay. Okay. Vic, while working at East Robinson, did you
- 21 ever try to transfer?
- 22 A. I did.
- 23 Q. Okay. And when?
- 24 A. Once at the end of February, 2022 and then mid --
- 25 beginning to middle of March, 2022.

- 1 Q. Okay. And why did you try to transfer?
- 2 A. I was having a lot of issues with my store manager, Keitta
- 3 Clark. And I didn't feel comfortable working at East Robinson
- 4 anymore.
- 5 Q. Okay. And what were some of the issues that you were
- 6 having with Keitta Clark?
- 7 A. She had pulled me into a one-on-one meeting and the two-
- 8 on-one meeting both of which I felt that she was very hostile
- 9 to me. There was also an issue of sexual harassment at my
- 10 store that I felt she was targeting me about afterwards.
- 11 Q. Okay. And did you try to remedy the situation with
- 12 Keitta?
- 13 A. Yes.
- 14 Q. Okay. And how?
- 15 A. I had meetings with Greta Case, who was my district
- 16 manager about some conflict resolution strategies, and then we
- 17 also had a mediated conversation between Keitta and I with
- 18 Greta as the witness.
- 19 Q. Okay. And who from management knew of the issues with
- 20 Keitta?
- 21 MR. BALSAM: Objection. Calls for speculation.
- JUDGE RINGLER: Well, why don't we ask her, do you know
- who from management, and then ask her how she knows? And then
- 24 she can answer that other piece of it.
- 25 BY MS. SNELLING:

- 1 Q. Do you know if anyone from management knew about your
- 2 issues with Keitta?
- 3 A. Yes.
- 4 Q. Okay. And who would that be?
- 5 A. Liz Poole, who was the manager of the Niagara Falls
- 6 Boulevard store, Greta Case, who was my district manager,
- 7 Tracy, I'm not sure her last name was the district manager
- 8 after Greta, and I also filed an ethics and compliance report
- 9 against Keitta.
- 10 Q. Okay. And how do you know that all of those people knew
- 11 about the issues with Keitta?
- 12 A. Well, I filed the ethics and compliance report, and then
- the other ones I had either Liz had witnessed a meeting between
- 14 Keitta and I, and then I talked to her in the back room after,
- and then I approached both Greta and Tracy about the issues I
- 16 was having.
- 17 Q. Okay. And so I know that you mentioned that you tried to
- 18 remedy the situation with Keitta. In your opinion, was it ever
- 19 remedied?
- 20 MR. BALSAM: Objection.
- JUDGE RINGLER: Basis? Was it ever remedied in your mind?
- 22 I mean, she would say yes or no and I'm assuming explain why.
- MR. BALSAM: That wasn't the question. The question
- 24 wasn't in your opinion.
- JUDGE RINGLER: In your opinion, was it ever remedied was

1 the question.

- MS. SNELLING: Yeah, I asked her.
- MR. BALSAM: I misheard the question.
- 4 JUDGE RINGLER: Okay.
- 5 MR. BALSAM: I'll withdraw the objection.
- 6 JUDGE RINGLER: So in your opinion, was it ever remedied?
- 7 THE WITNESS: No.
- 8 MS. SNELLING: Okay.
- 9 JUDGE RINGLER: Why do you say that?
- 10 THE WITNESS: For the remaining time Keitta worked at East
- Robinson after we'd had the mediated conversation, she wouldn't
- 12 speak to me and it was still, in my opinion, a very hostile
- 13 place for me to be working with her.
- 14 BY MS. SNELLING:
- 15 Q. Okay. And so after that, that's when you tried to
- 16 transfer?
- 17 A. Yes.
- 18 O. Okay. And so how did you ask to transfer?
- 19 A. After the mediated conversation between Keitta and I with
- 20 Greta, Greta had sent Keitta home and then I asked Greta if I
- 21 would be able to transfer specifically to the Williamsville
- 22 Place store.
- 23 Q. Okay. And so just as a refresher, Vic, I think you
- 24 already said this, but when did you have this conversation?
- 25 A. This one was at the end of February, 2022.

1 Q. And when you spoke with -- how did the conversation 2 -- strike that. When you spoke with Greta, what did you ask? 3 MR. BALSAM: Objection. Leading. 4 JUDGE RINGLER: 5 When you spoke with Greta, what did you So she already said she spoke to her, so it's not leading 6 7 in terms of there being a conversation. Now, she's asking 8 what. I think that's fine. So go ahead. THE WITNESS: Okay. I asked Greta if I would be able to 9 10 transfer out of East Robinson and specifically if I could transfer to the Williamsville Place store. 11 12 BY MS. SNELLING: Okay. And what, if anything, was her response? 13 Ο. She said that there was no room for me at Williamsville 14 Α. 15 Place or anywhere else in the district as a shift supervisor. 16 I then offered to demote myself to barista. And she again said there was no room for me and that Williamsville Place was 17 operating in a way that met the needs of business. I told her 18 19 that I had a friend there who told me that they were operating 20 as drive-through only. She said that was just how they needed 21 to operate for the time being and told me I could fill out a 22 transfer request form if I really wanted to. 23 JUDGE RINGLER: Now, when you offered to demote yourself 2.4 to barista, what would that mean in terms of your wage? 25 THE WITNESS: I'm not sure the barista wage, but I'm --

- 1 I'm guessing it would be probably a four to \$5 an hour cut.
- 2 BY MS. SNELLING:
- 3 Q. So you mentioned that Greta stated that you could fill out
- 4 a request form. Did you fill out a request form?
- 5 A. No.
- 6 Q. Okay. And why not?
- 7 A. The way she'd said it felt like it would be a waste of my
- 8 time and it wouldn't be approved either way.
- 9 Q. Okay. Did you ask anyone else if you could transfer
- 10 stores?
- 11 A. Yes.
- 12 Q. Who?
- 13 A. I asked Tracy.
- 14 Q. Okay. And when did you speak with Tracy?
- 15 A. A few weeks after the conversation with Greta, sometime in
- 16 March of 2022.
- 17 Q. Okay. And where did you speak with Tracy?
- 18 A. In the cafe of East Robinson.
- 19 Q. Okay. And how did this conversation begin?
- 20 A. Tracy was take --
- JUDGE RINGLER: Give me just the date that you spoke to
- 22 Tracy again, approximately.
- THE WITNESS: Oh, beginning to middle of March.
- JUDGE RINGLER: Beginning to middle of March. That's
- 25 perfect. Sorry.

1 THE WITNESS: No, you're good.

JUDGE RINGLER: I'm getting the name slowly, so. Okay, go

- 3 ahead, Counsel.
- 4 BY MS. SNELLING:
- 5 Q. And just as a refresher, Vic, who is Tracy?
- 6 A. So Tracy was taking over as my district manager after
- 7 Greta had left.
- 8 Q. Okay. And so how did the conversation with Tracy start?
- 9 A. It was Tracy's first day at East Robinson. So she had
- 10 asked that we all go over and individually introduce ourselves
- and have a quick conversation with her so she could get to know
- 12 us. And I introduced myself and then asked to transfer.
- Q. Okay. And what, if anything, did she say to you after you
- 14 asked to transfer?
- 15 A. She said the same -- basically the same thing as Greta,
- 16 that there was no room for me anywhere and that I could fill
- out the transfer form if I really wanted to. But that there
- 18 was really nowhere for me to go besides staying at East
- 19 Robinson.
- 20 Q. Okay.
- JUDGE RINGLER: Now, when you asked to transfer this time,
- 22 were you broader in scope or were you still focused on the
- 23 Williamsville store?
- 24 THE WITNESS: I mentioned the Williamsville Place store
- 25 again, but I was, I'll go anywhere. But I do know that

1 Williamsville Place is operating as drive-through only still 2 kind of thing. 3 JUDGE RINGLER: Okay. And her response was no room 4 anywhere? THE WITNESS: 5 No room anywhere. 6 JUDGE RINGLER: Okay. MR. BALSAM: Judge, if I make for a moment? 8 JUDGE RINGLER: Yes. 9 MR. BALSAM: Motion to move to strike any and all 10 testimony about the so-called second transfer discussions as there's not a single allegation in the complaint that relates 11 to a second transfer. If you look at paragraphs 9 (a) (i) 12 talks about the first transfer request on February of '22. 13 14 JUDGE RINGLER: Counsel --Your Honor, I would respond and say move to 15 MR. BALSAM: 16 strike. Also none of this is relevant. 17 JUDGE RINGLER: Counsel, do you want to respond to that? MS. SNELLING: Let me pull up the complaint really 18 19 quickly, Your Honor. 20 JUDGE RINGLER: Okay. It's just so that I can look at the 21 MS. SNELLING: 22 language quickly. Your Honor, we argue that because it says 23 about the dates that can be interpreted loosely. And so it's 2.4 not just tied specifically to that February 21st date. 25 it's extremely relevant as Vic did try to transfer multiple

times.

2.4

JUDGE RINGLER: All right. I'll allow it in. I'm not sure whether I might ultimately find that it's not relevant. That's possible. I might also find that it's part of the same transaction related to the February 21 of '22 date. And that's just kind of a retry. Are you sure I can't do it? So I'm not certain at this point of the relevance, but I will allow it in and make a finding on that in my decision.

MR. BALSAM: Judge, the plain language of the text of the complaint does not suggest that there was more than one situation where this witness tried to make a transfer request. It uses the singular term request, not plural requests. Therefore, any testimony about a subsequent transfer request has nothing to do with this complaint, unfairly prejudices us because we were not prepared to talk about that. We were never aware of it. There's not a single charge, to my knowledge, that talks about this and it's unfair and requires due process

JUDGE RINGLER: I appreciate that. I don't agree with you, though. And I'll just say this and I don't want to belabor it. Somebody could be fired, for example, on July 1st, and then there could be subsequent meetings, grievance meetings or whatever in August, September dealing with that same transaction and that July 1 firing. I'm not sure if this is akin to that or if this is something entirely different, and

- 1 you are correct. So I'll allow Counsel to do that and tie it 2 together when you --
- MR. BALSAM: But Judge, if they're seeking relief based on a second transfer --
- JUDGE RINGLER: No, no. I'm only limited to finding the things in the complaint violations. So I certainly wouldn't find a second transfer violation, but it might be relevant to this. So I will allow the testimony. Go ahead, Counsel.
- 9 MS. SNELLING: Okay, thank you.
- 10 BY MS. SNELLING:
- 11 Q. Okay. So I think Vic, where we left off was that you
- 12 spoke with Tracy about transferring and she told you there was
- 13 nowhere you could go.
- 14 A. Yes.
- 15 Q. And you could fill out a transfer form if you want. But
- 16 she was unsure. Did you end up --
- MR. BALSAM: Objection. Counsel's testifying for the
- 18 witness.
- 19 JUDGE RINGLER: Yeah. Counsel, just continue where you
- 20 left off.
- 21 MS. SNELLING: Okay.
- JUDGE RINGLER: The record has this testimony.
- MS. SNELLING: Just trying to recount. Sorry, Your Honor.
- 24 JUDGE RINGLER: Yeah.
- 25 BY MS. SNELLING:

- 1 Q. Okay. So did you put in a transfer request after speaking
- 2 with Tracy?
- 3 A. No.
- 4 Q. Okay. And why not?
- 5 A. I was pretty sure it was going to be futile, so I didn't
- 6 even try.
- 7 Q. Okay. And Vic, have you ever transferred stores before?
- 8 A. Yes.
- 9 Q. Okay. And when?
- 10 A. In March of 2021.
- 11 Q. Okay. And from what store did you transfer from?
- 12 A. I transferred from the Walden Galleria kiosk to the East
- 13 Robinson store.
- 14 Q. Okay. And how did that transfer process work?
- 15 A. I had heard that they were moving my manager who was Kayla
- 16 Moore at the time to open the East Robinson location. And I
- 17 told her one day at work that I would really like to go over
- 18 there and move with her. And she called me a couple weeks
- 19 later and asked if I would like to officially transfer over to
- 20 East Robinson and be part of the shift team there. I said yes,
- and then I was transferred a few weeks later.
- 22 Q. Okay. And in your experience working for Starbucks, how
- 23 would you describe transferring?
- 24 A. Based on my experience and things that I've heard from
- other partners, I would say it's pretty easy.

- 1 MR. BALSAM: Objection. Hearsay.
- JUDGE RINGLER: I'll sustain.
- 3 MS. SNELLING: Okay.
- 4 BY MS. SNELLING:
- 5 Q. Okay. So just based solely on your experience, Vic, how
- 6 would you describe transferring?
- 7 A. Very easy.
- 8 Q. Okay. And are you aware of any restrictions on
- 9 transferring?
- 10 A. I believe there's something about if you have a
- 11 disciplinary action, but I'm not sure.
- 12 Q. Okay. And so at the time that you were asking to
- transfer, did you have a disciplinary action on your record at
- 14 that time?
- 15 A. I didn't think so, no.
- 16 Q. Okay. And Vic, did you ever receive disciplinary action
- 17 from Starbucks?
- 18 A. Yes.
- 19 Q. Okay. And what disciplinary actions did you receive?
- 20 A. I have a write-up from 2017, a documented coaching from
- 21 2019, and a final written warning from May of 2022.
- 22 Q. Okay. We'll focus on the final written warning for right
- 23 now. So when did you receive your final written warning?
- 24 A. Sometime in late May of 2022.
- 25 Q. Okay. And so on the day that you received your final

- 1 written warning, were you working that day?
- 2 A. I was.
- 3 Q. Okay. And what happened when you went to work that day?
- 4 A. I worked the -- almost entirety of my shift and Josie
- 5 Haven, who was my store manager at the time, came back in
- 6 around I would say 8:00 with Sebastian Garcia, who at that time
- 7 was the store manager of the Main Street location and they had
- 8 asked to speak to me in the back of the house.
- 9 Q. Okay. And did you go to the back of the house?
- 10 A. I sure did.
- 11 Q. Okay. And so when you went to the back of the house, who
- 12 was there?
- 13 A. Josie and Sebastian met me back there.
- 14 Q. Okay. Was anyone else in the back?
- 15 A. No.
- 16 Q. Okay. And what happened when you went into the back?
- 17 A. Josie handed me my final written warning and then read it
- 18 aloud to me.
- 19 Q. Okay. And who is Josie?
- 20 A. She was my proxy store manager at the time.
- 21 Q. Okay. And you may have mentioned this, but who's
- 22 Sebastian?
- 23 A. He was the store manager at Main Street.
- 24 Q. Okay.
- 25 A. At that time.

- 1 Q. And did you record this interaction with them?
- 2 A. I did not.
- 3 Q. Okay. I think that you mentioned they read the -- gave
- 4 the final written warning. If I showed you a copy, would you
- 5 recognize it?
- 6 A. Yes.
- 7 Q. I'm distributing what's pre-marked as GC Exhibit 3.
- 8 A. Thank you.
- 9 Q. Okay. Vic, do you recognize this?
- 10 A. I do.
- 11 Q. Okay. What is this?
- 12 A. This is my final written warning.
- (General Counsel's GC-3 identified)
- 14 Q. Okay. And just to clarify, is this the exact copy that
- 15 you received or a picture of it?
- 16 A. This is a picture of it.
- 17 Q. Okay. And when you had this meeting with Josie and
- 18 Sebastian, did you sign the final written warning?
- 19 A. I did.
- 20 Q. Okay. And so this copy is not signed, correct?
- 21 A. Yes.
- 22 Q. Okay. Do you know where the signed copy is?
- 23 A. Josie kept that copy and gave me a blank copy.
- Q. Okay. But to the best of your recollection, this is a
- 25 complete and accurate copy of what you received that day?

- 1 A. Yes.
- 2 Q. Okay. Okay. I think that you said that Josie read the
- 3 final written warning to you in the meeting. Was there
- 4 anything else that was said in the meeting?
- 5 A. Yes. She asked me after she read it if I had any comments
- 6 or questions to give her.
- 7 Q. Okay. And what, if anything, did you say?
- 8 A. I said that I felt that this written warning was illegal
- 9 and that if she wanted to talk about it again, I would give her
- 10 the phone number to my attorney.
- 11 Q. Okay. Okay. And what happened after you said that?
- 12 A. I got up and I finished the rest of my shift.
- 13 Q. Okay. So looking at the write-up, it says, and within the
- 14 box it says, "Statement of situation" under that, in that box.
- 15 The second line it says, "On May 7th, Victoria left cash
- 16 unsecured in closed till drawers". Do you agree with that?
- 17 A. Yes.
- 18 0. Okay. And why do you agree with that?
- 19 A. On May 7th, I led my coworkers in a walkout on an unfair
- 20 labor practice strike, and I left the drawers in the locked
- 21 registers with the register keys locked in the safe.
- 22 Q. Okay.
- MS. SNELLING: And Your Honor, I'm going to move GC
- 24 Exhibit 3 into evidence.
- JUDGE RINGLER: Any objection to GC-3?

1 Judge, this may be an issue that's going to -MR. BALSAM: 2 - well, we'll see it a few times until Counsel of the General 3 Counsel has the ability to review our production. But this is 4 not a signed copy by anyone. And therefore, the extent that we 5 can replace the signed versions with the unsigned versions, I 6 wouldn't allow this to come in. But until that time, this is not the accurate document. 8 JUDGE RINGLER: All right. So what I'm going to do is, If you feel the need to offer a 9 I'm going to admit GC-3. 10 Respondent exhibit that parallels that and signed, I won't stop you from doing that. 11 12 Okay. That's fine. Just for housekeeping MR. BALSAM: 13 purposes and trying to make the record as clean as possible, 14 does it just make sense to swap out the signed versions? 15 JUDGE RINGLER: So I'm not a big fan of doing that. 16 MR. BALSAM: That's fine. Yeah. 17 JUDGE RINGLER: 18 MR. BALSAM: Okay. Fair enough. 19 JUDGE RINGLER: But if you want to -- if you feel there's 20 a need to get a signed one in, that's fine. 21 MR. BALSAM: Okay. If you feel that no, it's the same, the 22 JUDGE RINGLER: 23 unsigned one's fine too. 2.4 MR. BALSAM: All right. 25 JUDGE RINGLER: I'll leave that to you.

1 MR. BALSAM: All right. Well, then Starbucks, we object 2 to the introduction of this document. JUDGE RINGLER: Okay. Understood. 3 It's not the accurate business record. 4 MR. BALSAM: 5 JUDGE RINGLER: Understood. So I will admit GC-3 and if 6 Starbucks wants to offer a Respondent exhibit that's signed by 7 the manager and the witness, that is more than fine as well. 8 So all right. (General Counsel's Exhibit 3 is received.) 10 JUDGE RINGLER: You were saying, just to catch up, that you left the drawer. 11 Just tell me the circumstance of leaving 12 the drawer one more time. So I led my coworkers in a walkout on unfair 13 THE WITNESS: labored practice strike. And so all of the cash drawers were 14 15 locked in the register with the register keys in the arms safe. 16 JUDGE RINGLER: Okay. 17 BY MS. SNELLING: And why did you go on strike, Vic? 18 Ο. Okay. 19 MR. BALSAM: Objection. Relevance. 20 JUDGE RINGLER: I'll allow it. Go ahead. The night before, I had a coworker who 21 THE WITNESS: 22 became physically ill. And when I walked in on the morning of May 7th, I found a bucket of vomit. And we also had a -- I 23 2.4 don't remember exactly how many call-offs, but we had a very

decent number of call-offs that made it incredibly hard to

25

- 1 operate the store.
- 2 BY MS. SNELLING:
- 3 Q. Okay. And when you went on strike and led the walkout,
- 4 did you let anyone from management know?
- 5 A. Yes.
- 6 Q. Okay. Who did you let know?
- 7 A. Gaven.
- 8 Q. Okay. And who is Gaven?
- 9 A. He was the manager of Transit Commons. He was our proxy
- 10 manager because Josie was out of town.
- 11 Q. Okay. And so what is a proxy manager?
- 12 A. It's -- it's a manager that -- from a different store that
- will watch your store while your store manager's out of town.
- 14 I hesitate to use the word babysitter, but I think it fits.
- 15 0. Okay.
- MR. BALSAM: Objection. Move to strike.
- JUDGE RINGLER: I'll overrule. I don't think that hurts
- 18 or helps in any way. It's just a term, so it's fine.
- 19 BY MS. SNELLING:
- 20 Q. Okay. And how did you communicate that you were leading a
- 21 walkout?
- 22 A. We sent a strike letter and I had also told Gaven that we
- 23 were walking out.
- 24 Q. Okay. And how did you tell Gavin?
- 25 A. I texted him.

- 1 Q. Okay. And prior to going on strike, did you speak with
- 2 anyone?
- 3 A. Yes.
- 4 Q. Okay. Who did you speak with?
- 5 A. Jaz Brisack.
- 6 Q. Okay. And when did you speak with Jaz?
- 7 A. I would say around 10, 10:30 in the morning, I called her.
- 8 Q. Okay.
- JUDGE RINGLER: Spell the name for me.
- 10 THE WITNESS: Jaz is J-a-z.
- JUDGE RINGLER: Okay.
- 12 THE WITNESS: I -- you are going to be hard-pressed to get
- me to spell Brisack correctly, so sorry about that.
- JUDGE RINGLER: You've got it in the complaint, I'm
- 15 assuming?
- 16 MS. SNELLING: Yep. Jaz is named in the complaint.
- 17 JUDGE RINGLER: Do you want to spell it for me?
- 18 MS. SNELLING: Yes. I actually have it pulled up right
- 19 here. It's B-r-i-s-a-c-k.
- JUDGE RINGLER: Okay.
- MS. SNELLING: Okay.
- JUDGE RINGLER: Thank you.
- MS. SNELLING: Yep. Sorry.
- JUDGE RINGLER: All right. Not a problem.
- 25 BY MS. SNELLING:

Q. And Vic for clarification, who is Jaz?

- 2 A. Jaz is -- she did work at the Elmwood store and she is the 3 person that helps me organize East Robinson.
 - Q. Okay. Okay. And so how did you speak with Jaz?

MR. BALSAM: Judge, may I just reiterate my objection to any of this testimony as being completely irrelevant to the allegations in this complaint?

JUDGE RINGLER: Counsel, you could respond.

MS. SNELLING: Yeah. I'm laying foundation for Vic to talk about her conversation with Jaz which is why she took the actions that she did when she left the cash in the till.

JUDGE RINGLER: Okay.

MR. BALSAM: But again, Judge, it goes to the point of the initial objection that I had was, what this witness is talking about has nothing to do with what occurred. Yes, we understand there was a walkout, right? The allegation in this complainant that we unfairly issued a final warning based on what the witnesses admitted to doing. So why does it matter why they walked out?

JUDGE RINGLER: Well, I think, if I'm not mistaken,

Counsel, you're getting into the cash being left unsecured and
whether it's accurate that it was unsecured or not.

MS. SNELLING: Yes. And Your Honor --

JUDGE RINGLER: Is that correct?

MS. SNELLING: Yes. And we would also mention that it is

1 extremely pertinent that Vic led a walkout and then was 2 subsequently disciplined after. JUDGE RINGLER: 3 Okay. 4 MS. SNELLING: For, in our argument, something that people 5 typically aren't disciplined for. 6 MR. BALSAM: Judge, we already have a witness testifying 7 that there was a walkout. That's all you need. You don't need 8 all this extra --9 JUDGE RINGLER: I understand. I'll permit the testimony. 10 Go ahead. MS. SNELLING: Okay. Okay. I'm going to repeat this 11 12 question, Vic. JUDGE RINGLER: Go ahead. 13 BY MS. SNELLING: 14 15 How did you speak with Jaz? Ο. 16 Α. I called her. 17 Ο. Okay. And what did you discuss when you spoke with her on 18 the phone? 19 Objection. Relevance. MR. BALSAM: 20 JUDGE RINGLER: Overruled. 21 I called her and I told her what was going THE WITNESS:

THE WITNESS: I called her and I told her what was going on at East Robinson and said that my coworkers and I had been talking and that we were interested in walking out on strike, but I didn't know how to do it and they needed her help.

BY MS. SNELLING:

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- 1 Q. Okay. And so what happened after you told her that?
- 2 A. She came to the store.
- 3 Q. Okay. And approximately when did she come to the store?
- 4 A. I would say --
- 5 MR. BALSAM: Objection. Relevance.
- 6 JUDGE RINGLER: Overruled.
- 7 THE WITNESS: Sometime between 11:00 a.m. and noon.
- 8 BY MS. SNELLING:
- 9 Q. Okay. And what happened when Jaz arrived?
- 10 A. Jaz came into the store, we spoke about how exactly
- 11 logistically we would walk out on the strike. So I had
- 12 specifically asked about the cash and we agreed that it has
- happened before in other stores, and also people would leave a
- 14 singular drawer in the register at a time and not like
- 15 accidentally overnight and nothing happened. So we decided it
- 16 was okay. We started -- my barista started cleaning the store
- as fast as they could to kind of prepare for the next day so
- 18 that the opening shift wasn't totally slammed with things and
- 19 then we walked out.
- 20 Q. Okay. So you said that it would be okay to leave the cash
- 21 on the register. Why did you conclude that?
- 22 A. Because it had happened before where the closing
- 23 supervisor would leave a drawer in -- a till drawer in the
- 24 locked register overnight and nearly nothing happened to them.
- 25 And then Jaz said there were a few instances of other people

- 1 walking out on strike and leaving the cash in the drawers and
- 2 that nothing had happened.
- 3 Q. Okay. And so you mentioned people would do this when they
- 4 were closing their shifts. So in your experience, how
- 5 frequently would that happen?
- MR. BALSAM: Objection. How would this witness know what
- 7 happened in other stores? She testified to one --
- 8 JUDGE RINGLER: Sustained, sustained. You can stop.
- 9 Sustained.
- 10 BY MS. SNELLING:
- 11 Q. Did this ever happen in your store, Vic?
- 12 A. Yes.
- 13 Q. Okay. And so in your experience, how frequently would
- 14 this happen in your store?
- 15 A. One or two times a month I would say.
- 16 0. Okay. And just for clarification, what happened to those
- 17 | individuals when they would leave cash in the drawer?
- 18 A. Typically, there would be a note left in the Daily Records
- 19 book. Just, you know, register two was left overnight or a
- 20 verbal communication that was like, hey, you left the drawer in
- 21 the till overnight. And then just, oh, I'm sorry, it won't
- 22 happen again, kind of thing.
- 23 Q. Okay. And what is the Daily Records book?
- 24 A. It's a book that has the temperature logs and then has
- 25 pages for each day so that the shift team and managers can

- leave notes and communicate to each other in case they don't
- 2 see each other physically.
- 3 Q. Vic, if you still have your final written warning flipped
- 4 over, I'm going to reference that again quickly. It also says
- 5 on that second line that we referenced previously that the
- 6 drive-through window was unlocked and unsecured overnight. Did
- 7 you know that the drive-through was unlocked and unsecured that
- 8 evening?
- 9 A. I wasn't sure about it.
- 10 Q. Okay. And why weren't you sure?
- 11 A. I had a barista who was standing over there in the drive,
- 12 it's like a little cubby. And I had asked her to, you know,
- 13 lock the drive-through as we do when we're closing and I forgot
- 14 to double check it.
- 15 O. Okay. And at your store, have other employees left the
- 16 drive-through window unlocked previously?
- 17 A. I'm sure.
- 18 Q. Okay. And why are you sure?
- 19 A. Especially when we first transferred over, I had
- 20 transferred with somebody else from the kiosk, so we weren't
- 21 used to closing entire stores down. So I know that he had left
- 22 a door unlocked at one point. I know that I had forgotten to
- 23 close the drive-through when I first started working there just
- 24 because it was something that we weren't used to. It wasn't in
- 25 our routine to do.

1 Q. And I know you mentioned that you forgot at one Okay. 2 What, if anything, happened to you after you forgot? 3 It was just a conversation with my old manager, Kayla Α. Moore, that, you know, she understood that it was kind of a 4 5 learning curve for me to get used to handling a drive-through, 6 especially at closing. JUDGE RINGLER: So I've got a question for you. 8 MS. SNELLING: Yeah. 9 JUDGE RINGLER: Did all of the employees in the store join 10 the ULP strike? 11 THE WITNESS: Yes. Yes. 12 JUDGE RINGLER: So you left the store. Was there a store 13 manager left behind? 14 THE WITNESS: No. 15 JUDGE RINGLER: Okay. 16 THE WITNESS: We all walked out. 17 JUDGE RINGLER: And when you folks left, did you also lock the doors --18 19 THE WITNESS: Yes. 20 JUDGE RINGLER: -- to the store? THE WITNESS: 21 Yes. Okay. And if somebody wanted -- somebody 22 JUDGE RINGLER: 23 say, came through the drive-through window, hypothetically 2.4 speaking, right, how could they have gotten into the cash 25 register?

1 THE WITNESS: It would be, in my opinion, first of all, 2 hard to open the drive-through window even without the bar up 3 because of the latch mechanism that's on the inside. And then 4 you'd have to shimmy up into the store and then you would have 5 to physically remove the register drawer from the register. 6 you'd have to pull it out, disconnect all the cords, and then somehow pry it open without the key, which I'm not sure how you 8 do. 9 JUDGE RINGLER: Okav. And then what time did the ULP I'm not sure that we have that on the record. 10 strike begin? I think it's sometime between noon and 1. 11 THE WITNESS: 12 It was early afternoon for sure. 13 JUDGE RINGLER: And did the strike occur, the picketing, 14 if there was picketing? THE WITNESS: Uh-huh (affirmative). 15 16 JUDGE RINGLER: Did that occur outside the store? 17 THE WITNESS: Yes. JUDGE RINGLER: Okay. And then at what point did the 18 19 acting, I'll call acting manager, show up at the store, if at 20 all? Not that day, for sure, unless it was after 21 THE WITNESS: 22 we left, but --23 JUDGE RINGLER: All right. So one more time. The 2.4 picketing began, you said at about noon? 25 THE WITNESS: Yeah.

1 JUDGE RINGLER: What time did you stop picketing? 2 THE WITNESS: I want to say between 5 and 6, but that 3 one's also a little washy. We were out there for a few hours 4 though. I got sunburned. 5 JUDGE RINGLER: Okay. And during that noon to 5 to 6 p.m. 6 periods, did you observe anybody attempt to access the store? 7 THE WITNESS: No. 8 JUDGE RINGLER: Okay. Including your acting supervisor? 9 THE WITNESS: Yeah. 10 JUDGE RINGLER: Or anyone else from admin? Nobody. We had a couple of customers come 11 THE WITNESS: 12 up and ask what was going on and we told them and they left, 13 but that's about all that happened. 14 JUDGE RINGLER: Okay. And at what time -- one more time, you probably said it and I'd have to look back at my notes. 15 16 What time did you notify the acting supervisor about the 17 walkout strike? 18 THE WITNESS: As we were walking out, I had texted him. 19 JUDGE RINGLER: So about noon? 20 THE WITNESS: Yes. 21 JUDGE RINGLER: Okay. Okay. Okay, go ahead, Counsel. 22 BY MS. SNELLING: 23 And so Vic, when you forgot to lock the drive-through Q. 2.4 window that first time when you initially transferred, when did 25 that happen?

- 1 A. March, April of 2021, sometime.
- 2 Q. Okay. And I know you mentioned you knew other people who
- 3 had left things unlocked.
- 4 A. Yes.
- 5 Q. And what did they leave unlocked?
- 6 A. The patio door.
- 7 Q. Okay. And what, if anything, happened to them when they
- 8 left the patio door unlocked?
- 9 A. I know at some point my coworker that did it had received
- 10 a written warning.
- 11 Q. Okay.
- 12 A. About it.
- 13 Q. Okay. And when did that happen?
- 14 A. I think fall of 2021, sometime. I don't remember exactly.
- 15 Q. Okay. And was that the first time, to the best of your
- 16 knowledge, he left the door unlocked?
- 17 A. No. No, I don't think so.
- 18 Q. Okay.
- 19 JUDGE RINGLER: One more question for me and then I'll
- 20 leave this alone. So you locked the doors to the store?
- 21 THE WITNESS: Yes. Like the door.
- JUDGE RINGLER: Okay. And the drive-through window was
- 23 left open?
- THE WITNESS: Yeah. It was latched, but the bar was not.
- 25 There's a bar --

```
1
         JUDGE RINGLER: Okay, latched, but no bar. Okay.
 2
         THE WITNESS: -- that prevents anybody from opening it.
         JUDGE RINGLER: All right. So is the bar like, kind of
 3
 4
    like a secondary system? Like, would the latch prevent me from
 5
    sliding it open?
         THE WITNESS:
 6
                        I believe so. To open the -- so there's
 7
    like a -- I don't know how to describe it. But there's a
    little piece like this, and then there's a handle on the drive-
 8
 9
    through window.
10
         JUDGE RINGLER: You're kind of showing the clasp with your
    hand.
11
12
         THE WITNESS: Yeah, and then they -- they interlock like
13
           And then to open the drive-through window, you have to
14
    pull this back and pull the window back at the same time.
         JUDGE RINGLER: You have to lift the latch.
15
16
         THE WITNESS: Yes.
         JUDGE RINGLER:
17
                          Okay.
                        And is that from inside the store, Vic?
18
         MS. SNELLING:
19
         THE WITNESS:
                              There's nothing on the outside of the
                       Yes.
20
    store to help.
21
         JUDGE RINGLER:
                         And to lead to my question.
         THE WITNESS: Yeah.
22
23
         JUDGE RINGLER: Is there also an alarm system on the
2.4
    store?
25
         THE WITNESS: On the window?
                                        There's a --
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- JUDGE RINGLER: Not on the window, but the front of the store, there's an alarm?
- THE WITNESS: Yeah, there's an alarm code that when triggered, will call the police.
- JUDGE RINGLER: Okay. Did you folks arm that when you locked the store?
- 7 THE WITNESS: I don't remember actually.
- 8 JUDGE RINGLER: Okay.
- 9 THE WITNESS: I'm so sorry.
- 10 JUDGE RINGLER: That's fine.
- 11 BY MS. SNELLING:
- 12 Q. Vic, how many doors do you have to lock when closing the
- 13 store?
- 14 A. There is a back of house door that locks automatically
- when it's closed, but you have to make sure it's closed and
- then you have to physically lock with the key, the patio door,
- 17 and the two front doors.
- 18 Q. Okay. So was this -- you can flip over the final written
- warning, Vic. Yeah, you can flip it over. Thanks. And was
- 20 this the only discipline that you received by Starbucks?
- 21 A. I thought it was at the time, but I later learned that it
- 22 was not.
- Q. Okay. And when did you learn about other disciplines?
- 24 A. During my unemployment hearing.
- Q. Okay. We'll come back to that shortly. So after the

- 1 strike, did you return to work?
- 2 A. Yes.
- 3 Q. Okay. When did you return?
- 4 A. In our letter we said that we would unconditionally return
- 5 to work the next day. But the opening supervisor was sick, so
- 6 Starbucks opted to keep the store closed for another day, and
- 7 then which would make May 9th the next day, but the store was
- 8 open.
- 9 Q. Okay. And did you work on May 9th?
- 10 A. I don't remember.
- 11 Q. Okay. Your final written warning is dated May 22nd. So
- between returning to work and May 22nd, did anyone discuss with
- 13 you from management the issue with the cash drawer in the
- 14 window?
- 15 A. Yes.
- 16 Q. Okay. Who?
- 17 A. Josie Havens.
- 18 0. Okay. And when?
- 19 A. Probably a week before I received my write-up.
- 20 Q. Okay. And where did you have this conversation with
- 21 Josie?
- 22 A. It was in the East Robinson store.
- 23 Q. Okay.
- 24 A. In this kind of weird little hallway thing.
- 25 Q. Okay. And was anyone else present?

- 1 A. No.
- 2 Q. Okay. And how did the conversation start?
- 3 A. She told me that I had left the cash in the register
- 4 drawers and that the drive-through window had been left
- 5 unlocked when we walked out on strike. And I apologized, she
- 6 said you can't leave the store like that. Something -- she
- 7 said something to the effect of something is going to happen.
- 8 I'm trying my best, but you have to understand you can't leave
- 9 the store like that.
- 10 Q. Okay. Did she say anything else after telling you that?
- 11 A. No. I said I understood the policy, but that we had
- 12 walked out on strike and then the conversation was over after
- 13 that.
- 14 Q. At any point did she warn you that you were going to be
- 15 disciplined for this?
- 16 MR. BALSAM: Objection. Leading.
- 17 JUDGE RINGLER: Overruled. Go ahead.
- 18 THE WITNESS: In the conversation she said something was
- 19 going to happen because you can't leave the store like that,
- 20 but that she was trying her best.
- 21 Q. Okay. Trying her best how?
- 22 A. I --
- MR. BALSAM: Objection. This witness can't testify for
- 24 the mindset of someone else who's going to testify in this
- 25 case.

- JUDGE RINGLER: Sustained. She said, I'm going to try my
- 2 best. Did she explain what she meant?
- THE WITNESS: No.
- 4 JUDGE RINGLER: Okay.
- 5 MS. SNELLING: Okay.
- 6 JUDGE RINGLER: Go ahead.
- 7 BY MS. SNELLING:
- 8 Q. And after this conversation, did you speak with anyone
- 9 else from management about the cash being unsecured and the
- 10 window being unlocked?
- 11 A. No.
- 12 Q. Okay. And did you record that conversation with Josie?
- 13 A. No.
- 14 Q. Okay. Turning back to the strike, did you take any photos
- 15 while you were out on strike?
- 16 A. Yes.
- 17 Q. What was the photo of?
- 18 A. There are a few photos of all of us in front of the store
- 19 holding up our strike signs.
- 20 Q. Okay.
- 21 A. That we had made.
- 22 Q. And when you say all of us, who are you referring to?
- 23 A. Myself and then our coworkers who had walked out with me,
- 24 and then some other coworkers who showed up at the picket line
- 25 to show support for us.

- 1 Q. Okay. And did you ever post that photo anywhere?
- 2 A. I did.
- 3 Q. Okay. And where did you post it?
- 4 A. I posted it on my Instagram and I also think a news outlet
- 5 had it.
- 6 Q. Okay. And did you ever see that photo again?
- 7 A. Yes.
- 8 Q. Where?
- 9 A. It was Josie's lock screen on her phone.
- 10 Q. Okay. Josie, your manager?
- 11 A. Yes.
- 12 Q. Okay. When did you see it as her lock screen?
- MR. BALSAM: Objection. Relevance.
- 14 JUDGE RINGLER: I'll allow it.
- 15 THE WITNESS: Probably a week before she wrote me up.
- 16 BY MS. SNELLING:
- 17 Q. Okay. Did you ask about it?
- 18 A. I pointed it out.
- 19 Q. Okay. And just for clarification, what is a lock screen?
- 20 A. It's -- oh, I don't know how to -- it's the -- there's a
- 21 typically a photo that shows up on your locked phone, just kind
- 22 of like a screensaver display.
- 23 Q. Okay. And I'm sorry, did you ask about it?
- 24 A. Yeah, I pointed it out to her.
- 25 Q. Okay. And what did you say?

- 1 A. I think I just said something along the lines of like, oh,
- 2 that's me.
- 3 Q. Okay. And what did she say in response?
- 4 A. She said that our strike signs had hurt her feelings,
- 5 specifically the one that says bring back the care for
- 6 partners.
- 7 Q. Okay. So what was in the photo of you individuals?
- 8 A. It was -- I know I'm in there holding up -- in a red
- 9 sweatshirt, holding up a sign that says, "Tell Starbucks to end
- 10 the war on workers". And then one of my coworkers is kneeling
- 11 in front of me holding up a sign that said, "Bring back the
- 12 care for partners". And then there were a couple other people
- 13 holding similar signs.
- 14 Q. Okay.
- 15 A. Outside of the store.
- 16 Q. Okay. And after she said the signs made her cry, did she
- 17 say anything else?
- 18 MR. BALSAM: Objection. She didn't say that.
- 19 JUDGE RINGLER: Well, she didn't say cry. She said hurt
- 20 her feelings.
- MS. SNELLING: Oh, hurt her feelings. I apologize.
- JUDGE RINGLER: So sustained.
- MS. SNELLING: Okay.
- 24 BY MS. SNELLING:
- 25 Q. Did she say anything else after she said that?

- 1 A. She asked me if I -- if I thought that she didn't care
- 2 about me.
- 3 Q. Okay. And what, if anything, did you say in response?
- 4 A. I didn't really know how to answer it, so I think I just
- 5 said something along the lines of like, no, you're fine.
- 6 Q. Okay. And how did the conversation end?
- 7 A. I just went back to work, just walked away and went back
- 8 to work.
- 9 Q. Okay.
- 10 JUDGE RINGLER: Now, did you separately text her this
- 11 photo?
- 12 THE WITNESS: No.
- 13 BY MS. SNELLING:
- 14 Q. Okay. And Vic, were you disciplined after the final
- 15 written warning?
- 16 A. Yes.
- 17 Q. Okay. What type of discipline?
- 18 A. I was fired.
- 19 Q. Okay. And when?
- 20 A. June 22nd.
- 21 O. Okay. We're --
- JUDGE RINGLER: What am I hearing? Let's go off the
- 23 record for a moment.
- 24 (Off the record.)
- JUDGE RINGLER: We went off the record for a minute.

- 1 Member of our audience was accidentally playing their phone and
- 2 they went outside the room to silence their phone so we can
- 3 continue with the testimony. Okay.
- 4 MS. SNELLING: Okay.
- JUDGE RINGLER: So you were starting that you were fired,
- 6 you said on June 22nd?
- 7 THE WITNESS: Yes.
- 8 JUDGE RINGLER: Okay.
- 9 MS. SNELLING: Okay.
- JUDGE RINGLER: And then Counsel, I think that's where you
- 11 left off.
- 12 MS. SNELLING: Great. Thanks.
- 13 JUDGE RINGLER: Yes.
- 14 BY MS. SNELLING:
- 15 Q. Were you scheduled to work on June 22nd?
- 16 A. I was.
- 17 Q. Okay. And was this June 22nd, 2022?
- 18 A. Yes.
- 19 Q. Okay. And did you go to work?
- 20 A. I did.
- 21 Q. Okay. What happened when you arrived at work?
- 22 A. Josie walked up to me as I was doing my COVID check-in and
- asked to speak with me. I said yes. She walked back probably
- 24 a minute later and asked to speak with me on the patio.
- 25 Q. Okay. And so what is a COVID check?

- 1 A. It's a survey that you take when you start your shift at
- 2 Starbucks that asks about primary and secondary symptoms of
- 3 COVID if you'd recently been exposed. And if you pass it, you
- 4 are able to work, but you have to have somebody witness you
- 5 take it and then sign off on it.
- 6 Q. Okay. And so did you end up going out to the patio?
- 7 A. I did.
- 8 Q. Okay. And what happened when you went out onto the patio?
- 9 A. Josie and another manager were sitting there and I sat
- down and Josie handed me the notice of separation and read it
- 11 out loud to me.
- JUDGE RINGLER: So let me stop. You said Josie and
- another manager, who's the other manager?
- 14 THE WITNESS: Her name is Tina.
- 15 JUDGE RINGLER: Tina?
- 16 THE WITNESS: I believe her last name is Zunner.
- 17 JUDGE RINGLER: Zunner?
- 18 THE WITNESS: Yes.
- 19 JUDGE RINGLER: Okay.
- THE WITNESS: She's the manager of the UB Commons store.
- JUDGE RINGLER: Okay.
- 22 BY MS. SNELLING:
- 23 Q. Okay. And during this meeting, did they give you
- 24 anything?
- 25 A. Yes.

- 1 Q. Okay. What did they give you?
- 2 A. They gave me my notice of separation and a frequently
- 3 asked questions packet.
- 4 MS. SNELLING: Okay. I'm distributing GC Exhibit 4.
- 5 THE WITNESS: Thank you.
- 6 JUDGE RINGLER: Okay. Thank you as well.
- 7 THE WITNESS: Sorry.
- 8 BY MS. SNELLING:
- 9 Q. And Vic, did you record this conversation?
- 10 A. I did.
- 11 Q. Okay. And how?
- 12 A. On my phone.
- 13 Q. Okay. And what type of recording was it?
- 14 A. It's a video recording.
- 15 Q. Okay. And who did you send it to, if anyone?
- 16 A. I sent it to the Board and to my lawyer.
- 17 Q. Okay. And for your notice of separation, Vic, what is
- 18 this? I'm sorry.
- 19 A. No, you're good. It's my notice of separation.
- 20 Q. Okay. And you recognize it?
- 21 A. I do.
- 22 Q. Okay. And did you sign the notice of separation?
- 23 A. I did.
- 24 Q. Okay. This copy only has two signatures on it.
- 25 A. Yes.

- 1 Q. Do you have the signed copy?
- 2 A. I do not.
- 3 Q. Okay. And is this an accurate copy to the best of your
- 4 recollection?
- 5 A. Yes.
- 6 MS. SNELLING: Okay. And I moved GC Exhibit 4 into
- 7 evidence.
- 8 JUDGE RINGLER: All right. So I'm going to admit but note
- 9 the standing objection from Respondent and the ongoing
- 10 opportunity for Respondent to submit the only signed document
- 11 if you'd like to as a Respondent exhibit.
- 12 MR. BALSAM: Thank you, Judge.
- 13 JUDGE RINGLER: Okay.
- MR. BALSAM: Yes, sir.
- 15 JUDGE RINGLER: All right. So GC-4 is admitted.
- 16 (General Counsel's Exhibit 4 is identified and received.)
- 17 BY MS. SNELLING:
- 18 Q. Okay. And Vic, you said that you took a video reporting
- 19 of this.
- JUDGE RINGLER: Let's go off the record for just a minute.
- MS. SNELLING: Yes.
- 22 (Off the record from 2:55 p.m. to 3:24 p.m.)
- JUDGE RINGLER: All right. GC Exhibit number 5, we'll
- 24 give Counsel a chance to get yourself set up and we'll -- now,
- 25 with respect to GC Exhibit 5, I'll say for the record, we've

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1
    all been emailed a copy of it and it is a phone/video recording
 2
    of a conversation. You're not offering it for the video,
              You're only offering it for the audio.
 3
    correct?
                         Correct. Yes.
 4
         MS. SNELLING:
 5
         JUDGE RINGLER: All right. And I'm also going to receive
    within a day or so a transcript of this conversation.
 6
 7
         MS. SNELLING:
                        Yes, Your Honor.
 8
         JUDGE RINGLER:
                        And then you'll offer that and we'll
 9
    debate in terms of whether or not it's accurate or not.
10
    right.
11
         MS. SNELLING:
                         Yes, Your Honor.
12
                         All right. So I'll let you go to it.
         JUDGE RINGLER:
13
         MS. SNELLING:
                         Okay.
                                So I'll start --
         MR. BALSAM:
14
                       Make sure my audio is on.
15
         MS. SNELLING:
                         It looks like it is.
16
         MR. BALSAM:
                       Okay.
17
         MS. SNELLING:
                         Yes.
                               Okay.
                                      So I'm now going to play the
18
    recording.
19
         JUDGE RINGLER:
                          Yeah, that's fine.
                                               Thank you so much.
20
                         And do you want me to turn that up a little
         MS. SNELLING:
21
    bit, Your Honor?
                          Yes, sure.
22
         JUDGE RINGLER:
                                      I do.
23
                         And I'll start it over one more time.
         MS. SNELLING:
2.4
    know it's awkward on the floor.
25
     (Whereupon, the indicated portion of the video recording was
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1
    played.)
 2
    BY MS. SNELLING:
 3
         Okay. And Vic, who said, "Will you sign off on my thing?
    0.
 4
    Α.
         Me.
 5
    Ο.
         Okay.
 6
    (Whereupon, the indicated portion of the video recording was
 7
    played.)
 8
         JUDGE RINGLER:
                        Now, let me have you pause in a second.
 9
         MS. SNELLING:
                         Okav.
10
         JUDGE RINGLER: What I would like you to do, which I
    would've anticipated, is you need to ask her some foundation
11
12
    questions about did she record this? How did she record this?
    Did she record other things?
13
         MS. SNELLING: I did that before we broke, Your Honor.
14
15
    But I can ask again.
16
         JUDGE RINGLER: Did we get that in before we broke?
17
         MR. BALSAM:
                       There were some questions, Judge, that
    certainly I was going to allow the Counsel for the General
18
19
    Counsel to further explore the contents of this. But I would
20
    obviously have objections and then I would ask to voir dire the
21
    witness.
22
                          Yeah.
                                 So if you could, just ask some more
         JUDGE RINGLER:
23
    foundation questions along those lines before you start.
2.4
         MS. SNELLING:
                         Okay.
                                Yes.
25
    BY MS. SNELLING:
```

- 1 Q. Okay. So Vic, how did you record this?
- 2 A. On my phone.
- 3 Q. Okay. And what type of recording is it?
- 4 A. It's a video.
- 5 Q. Okay. And I think we asked this, but who did you send it
- 6 to, if anyone?
- 7 A. The -- I sent it to the Board.
- 8 Q. Okay. Did you send it to anyone else?
- 9 A. Yes. I sent it to Ian Hayes, my lawyer.
- 10 Q. Okay. Who can we see in this video recording?
- 11 A. The first person you see who just kind of has an arm is a
- 12 barista named Chris that was picking up a shift at East
- Robinson that day. And then for the rest of the video, you can
- 14 -- you can really only see the bottom of my leg and part of my
- 15 face at one point.
- 16 Q. Okay. And who can you hear in this video?
- 17 A. So you hear me, I don't know that Chris says anything that
- 18 you can hear, and then you hear Josie Havens and Tina the
- 19 manager from the UB Commons store.
- 20 Q. Okay. And where was this video taken?
- 21 A. At East Robinson. It starts in the store and then ends
- 22 out on the patio.
- 23 Q. Okay. And when does it end out on the patio?
- 24 A. After I've been served the notice of separation, I'm
- 25 getting up and walking away.

- 1 Q. Okay. And --
- JUDGE RINGLER: So you recorded on your phone. What kind
- 3 of phone do you have?
- 4 THE WITNESS: I had an iPhone X at the time.
- JUDGE RINGLER: All right.
- 6 THE WITNESS: Recently upgraded.
- JUDGE RINGLER: And then how would you go about on an
- 8 iPhone X recording a video/recording of a conversation?
- 9 THE WITNESS: There's two buttons on the lock screen. One
- 10 is a flashlight and then one is a camera. Open the camera app,
- 11 hit video. I hit record.
- JUDGE RINGLER: You hit that red button essentially?
- 13 THE WITNESS: Yes. Yes.
- 14 JUDGE RINGLER: Okay.
- 15 MS. SNELLING: Okay. I'll proceed with playing.
- 16 JUDGE RINGLER: Yes.
- 17 MS. SNELLING: Okay.
- JUDGE RINGLER: Yes, go ahead.
- 19 MS. SNELLING: Okay. And do you want me to start over?
- JUDGE RINGLER: No. I think you're fine at this point.
- 21 BY MS. SNELLING:
- 22 Q. Okay. And I think when I pause the video, Vic, the screen
- 23 is black. What's going on at this point?
- 24 A. My phone is in my apron pocket and I'm walking.
- 25 Q. Okay. And where are you walking to?

- 1 A. Out to the patio.
- 2 Q. Okay. And we stopped that at 25 seconds.
- 3 (Whereupon, the indicated portion of the video recording was
- 4 played.)
- 5 Q. Okay. I stopped at 46 seconds. Who just said hi?
- 6 A. That was Tina, the store manager of the UB Common store.
- 7 Q. Okay. And I'll resume.
- 8 (Whereupon, the indicated portion of the video recording was
- 9 played.)
- 10 Q. Okay. And I think that someone said, "Nice to meet you".
- 11 Who was that?
- 12 A. I don't know. Can you rewind it?
- 13 Q. Yes.
- 14 A. Just a smidgen.
- 15 Q. I'll rewind that. Okay. I've rewound it to 44 seconds.
- 16 We'll play from there.
- 17 (Whereupon, the indicated portion of the video recording was
- 18 played.)
- 19 Q. Okay. And so who said, "How are you"?
- 20 A. That was me.
- 21 0. Okay.
- 22 (Whereupon, the indicated portion of the video recording was
- 23 played.)
- 24 Q. Who just asked, "Have you met Tina"?
- 25 A. That was Josie Havens.

- 1 Q. Okay. And we stopped the recording at 49 seconds.
- 2 (Whereupon, the indicated portion of the video recording was
- 3 played.)
- 4 Q. Okay. And just for clarification, at 1 minute and 1
- 5 second, who said, "So"?
- 6 A. That was Josie.
- 7 Q. Okay.
- 8 (Whereupon, the indicated portion of the video recording was
- 9 played.)
- 10 Q. And at this point in the video, Vic, do you remember what
- 11 was going on?
- 12 A. Yeah, we were -- we were signing my notice of separation.
- 13 Q. Okay. And you signed it?
- 14 A. I did.
- 15 Q. Okay. And that was stopped at 2 minutes and 46 seconds.
- 16 (Whereupon, the indicated portion of the video recording was
- 17 played.)
- 18 Q. Okay. And we stopped at 3 minutes and 29 seconds. Vic, I
- 19 think that we heard some whimpering. Who was that?
- 20 A. Me.
- 21 Q. Okay. Just wanted to clarify.
- 22 (Whereupon, the indicated portion of the video recording was
- 23 played.)
- 24 Q. Okay. And is that where the video stops?
- 25 A. Yes.

- 1 Q. Okay.
- 2 MS. SNELLING: And Your Honor, should I review this?
- JUDGE RINGLER: Yes.
- 4 MS. SNELLING: Okay. And so we would like to enter GC
- 5 Exhibit 5 recording into evidence.
- 6 MR. BALSAM: Voir dire?
- JUDGE RINGLER: Yes, go ahead.
- 8 MR. BALSAM: Thank you.
- 9 VOIR DIRE
- 10 BY MR. BALSAM:
- 11 Q. The audio recording that is proposed to be GC Exhibit
- 12 number 5, has it been altered or modified in any way?
- 13 A. No.
- 14 Q. You testified that you sent the audio recording to the
- 15 Counsel for the General Counsel and your lawyer, Mr. Hayes; is
- 16 that correct?
- 17 A. Yes.
- 18 O. How'd you send it to them?
- 19 A. I texted it to Ian and I emailed it to Abby.
- 20 Q. Is the video file still in your cell phone?
- 21 A. Yeah.
- MR. BALSAM: Judge, we would like to request that this
- 23 witness allow us to inspect her audio -- the audio file to
- 24 ensure that the metadata is intact, that there's been no
- 25 modifications at all. Certainly the file on her phone is the

- best evidence, not some copy that was sent to the Counsel for
 the General Counsel as well as Mr. Hayes.
- JUDGE RINGLER: Okay. I'll let you continue for your voir dire. I'll think about your request.
- MR. BALSAM: And just so you're aware, Your Honor, we will be issuing a subpoena to this witness for the same exact information I'm requesting.
- JUDGE RINGLER: Uh-huh (affirmative).
- 9 MR. BALSAM: So it's going to come up at some point.
- 10 JUDGE RINGLER: Right.
- MR. BALSAM: Okay.
- 12 BY MR. BALSAM:
- 13 Q. Why did you make the recording?
- 14 A. I was pretty sure that when Josie walked up to me that she
- was going to fire me, and I wanted to document it.
- Q. What made you think that Ms. Havens was going to terminate
- 17 your appointment?
- 18 A. Can I talk to you on the patio is usually Starbucks code
- for you're getting in trouble for something.
- MS. SNELLING: Your Honor, I'm going to object to this
- 21 line of questioning because this is beyond voir dire.
- 22 JUDGE RINGLER: It is.
- MS. SNELLING: Respondent could do this on cross
- 24 examination.
- JUDGE RINGLER: It is. So let me ask this, Counsel. So

I've got her testimony that she was terminated and what the circumstances were.

MS. SNELLING: Uh-huh (affirmative).

JUDGE RINGLER: I've got the notice of separation, which details Starbucks rationale. What extra is in this video besides obviously you being upset, which is important, but I don't know that it's legally relevant. So I don't want you to take anything from what I'm saying is any umbrage to it. But I'm not really certain what the video adds in terms -- and the recording in terms of anything that's relevant to me making a decision in terms of whether the firing was unlawful or not.

MS. SNELLING: Yes, Your Honor.

JUDGE RINGLER: So what does it add? What is the additional relevance that it gives me?

MS. SNELLING: Yes.

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JUDGE RINGLER: Now, I could see if they had the person who terminated her saying that we said X and Y and Z and that doesn't match up, and then maybe it's a piece of rebuttal evidence. I could see that, but I'm not really certain how in and of itself it helps.

MS. SNELLING: Yes, Your Honor. So within the video, and as you'll notice on the notice of separation, which we're going to talk about soon, it does say that Vic was late on June 24th when she was terminated on June 22nd. And so in the recording the manager at the time clearly states that it was because she

1 was late on June 24th. And so we wanted to get that into the 2 record and show through this video that the manager did clearly state that as well, that it wasn't just a typo that during the 3 termination this was being said. 4 5 Additionally, we have been asking all of our witnesses if 6 they are taking recordings of things. And so in this case, 7 because Vic did take a recording, we thought that it would be 8 best practice to put the recording in just for clarity's sake. 9 JUDGE RINGLER: Okay. Okay. So let me just understand 10 what you're saying. So is it your position that there was the lateness that led to a termination on June the 22nd, June the 11 12 24th or both? MS. SNELLING: Vic was not late on June 22nd, and she will 13 14 testify to that. But that they say that she was late a date in the future. 15 16 JUDGE RINGLER: I see. Okay. 17 MS. SNELLING: So that is why we want to get that --18 JUDGE RINGLER: Okay. I quess I --19 MS. SNELLING: -- in the recording. 20 JUDGE RINGLER: Right. But that being said though, it clearly says the date in the future on the notice of 21 22 So I'll go back to my original question. separation. 23 does the video add? 2.4 Well, it's also just confirmation, and it MS. SNELLING: 25 goes along with what Vic is going to describe and in what she

describes in the meeting as well. So it aids in that way as well, Your Honor. So that we have provided evidence that Respondent actually said that she was late on a date that she could not have been late because it was in the future.

JUDGE RINGLER: Right. Right. But it says that on GC-4 though. So if the recording didn't exist, I'd still have that part of it. Do you understand what I'm saying?

MS. SNELLING: Yes, Your Honor.

JUDGE RINGLER: So I'm not really seeing how having a recording of this matters in any way. It seems that it's cumulative. It seems that it's that.

MS. SNELLING: Okay.

2.4

JUDGE RINGLER: I mean, but maybe I'm missing something. Because that's why I'm not trying to put you on the spot, but I'm trying to make sure that I'm certain that I'm not missing something.

MS. SNELLING: Your Honor, in all honesty, we were also in addition to the reason that I stated to you about getting this on recording of what the manager said, we also err on the side of caution when we have these recordings in that we want to make sure that we're presenting, I guess, everything fully.

JUDGE RINGLER: Yeah, I know that. But fully sometimes --

MS. SNELLING: Yes.

JUDGE RINGLER: -- is cumulative. So what I'm going to do is I'm going to reject the recording GC-5.

1 MS. SNELLING: Okay. 2 JUDGE RINGLER: I just don't -- I think it's cumulative. 3 I don't think it adds anything. 4 MS. SNELLING: Okay. 5 JUDGE RINGLER: I think the point that you wanted to 6 establish with it being a different date is clearly outlined on 7 I can see this plain as day, she got a discipline on 8 June 22nd, and the discipline talked about perspectively, you 9 were late on the 24th. You could certainly get into whether 10 she was late on the 22nd or whatever other dates you want to. 11 I won't prevent you from doing that. 12 MS. SNELLING: Okay. 13 JUDGE RINGLER: But I just don't think that the recording is relevant. 14 15 MS. SNELLING: So we'll just ask that this exhibit Okay. 16 goes into the rejected exhibit. 17 JUDGE RINGLER: Good question. And so granted, 18 absolutely. Yes. 19 Thank you, Your Honor. MS. SNELLING: 20 So our Court Reporter's going to get an JUDGE RINGLER: 21 emailed access to the shared exhibits. And when you do, you're 22 going to need to take that GC Exhibit 5, which has been 23 rejected, and save it onto NextGen and put it in the rejected exhibit folder. 2.4 25 COURT REPORTER: Okay.

- 1 JUDGE RINGLER: Okay. Okay.
- 2 BY MS. SNELLING:
- 3 Q. Okay. Okay. So Vic, during this meeting with Josie and
- 4 Tina for -- what did they say?
- MR. BALSAM: Objection. Witness has already testified as
- 6 to what the manager said to her.
- 7 JUDGE RINGLER: Well, I just didn't let in the video. So
- 8 she has recollection of something else. Do you want to -- you
- 9 could lead her a little bit in terms of what was said just to
- 10 get through this.
- MS. SNELLING: Okay. Okay. Yeah.
- 12 BY MS. SNELLING:
- 13 Q. So Vic, when you met with Josie and Tina, did they read
- 14 the notice of separation to you?
- 15 A. Yes.
- 16 O. Okay. And what --
- 17 MR. BALSAM: Objection. Both the managers did not read
- 18 the notice of separation. The Counsel of the General Counsel
- 19 stated --
- JUDGE RINGLER: Who read the notice of separation to you?
- 21 THE WITNESS: Josie Havens.
- JUDGE RINGLER: Okay.
- 23 BY MS. SNELLING:
- Q. Okay. Okay. And so during this conversation with Josie
- 25 and Tina, did you say anything?

- 1 A. I did.
- 2 Q. What did you say?
- 3 A. I told Josie that I was disappointed in her that I --
- 4 sorry.
- JUDGE RINGLER: No, take your time. And if you need a
- 6 break, please tell me if you --
- 7 THE WITNESS: That I believe that she was better than this
- 8 and that I hoped whatever check they gave her to abandon her
- 9 morals and ethics was worth it. And then I told her to have a
- 10 good day and I left.
- 11 BY MS. SNELLING:
- 12 Q. Okay. And so is that the end of the conversation?
- 13 A. Yes.
- 14 Q. Okay. And please let us know if you need a minute. Okay,
- 15 Vic.
- 16 A. Thanks.
- 17 MR. BALSAM: Judge, you're going to need to strike the
- 18 last part of the testimony as irrelevant to this proceeding
- 19 based on your prior ruling that the recording is what was set
- 20 on there. We have the notice of separation. The fact of the
- 21 matter is she was separated. The dialogue between the two is
- 22 irrelevance to this proceeding.
- JUDGE RINGLER: I'll allow the testimony.
- 24 BY MS. SNELLING:
- 25 Q. Okay. So turning to your notice of separation, Vic, it

- 1 states on June 24th, 2022, you were 29 minutes late to work.
- 2 Do you agree with that statement?
- 3 A. No.
- 4 Q. Why not?
- 5 A. I was not employed by Starbucks on June 24th nor was I
- 6 even scheduled to work that day.
- 7 Q. Okay. And so what date were you terminated?
- 8 A. June 22nd.
- 9 Q. Okay. Since June 24th --
- 10 JUDGE RINGLER: Let me just interject for just a second.
- 11 So at some point, did Starbucks communicate to you, we were
- 12 wrong about June the 24th. You were really late on this other
- date that we were talking about. Did you ever get any
- 14 communication to that effect?
- THE WITNESS: I found out that they meant June 4th when I
- 16 had to go to an unemployment hearing.
- 17 JUDGE RINGLER: Okay. And how did you find out that they
- 18 really meant June 4th?
- 19 THE WITNESS: We asked Josie and she said that there was a
- 20 typo and that Starbucks was supposed to send me a fixed
- 21 document that I never received.
- JUDGE RINGLER: Okay. And Counsel, I'll let you just jump
- 23 in there.
- MS. SNELLING: Yep. Thank you, Your Honor.
- 25 BY MS. SNELLING:

- Q. So when did the unemployment hearing take place? 1 2 I think it was sometime in the fall. Α. I don't remember. 3 Okay. Ο. 4 Of 2022. Α. 5 And how many hearings did you have for 0. 6 unemployment? 7 Objection. Relevance. MR. BALSAM: 8 MS. SNELLING: Your Honor, I'm laying foundation for an 9 exhibit that we're going to enter that --10 JUDGE RINGLER: It's fine. MS. SNELLING: 11 Okay. 12 JUDGE RINGLER: You can answer the question. 13 MS. SNELLING: Okay. I think I had three in total. 14 THE WITNESS: 15 MS. SNELLING: Okay. And --16 JUDGE RINGLER: Three hearing days or three separate 17 hearings? Three separate. The first one, I had to ask 18 THE WITNESS: 19 the judge for more time to get a lawyer. The second one, I had 20 a lawyer, but we were asking Starbucks for documents that we
- 21 needed to proceed. And then the third one was the full
- 22 hearing.
- JUDGE RINGLER: Gotcha.
- 24 THE WITNESS: I don't know the legal jargon for that.
- JUDGE RINGLER: That's fine. No, you explained it well.

- 1 Thank you.
- 2 BY MS. SNELLING:
- 3 Q. Okay. And I know you already mentioned, but you had
- 4 learned that the date stated was not the actual date or the
- 5 reason for the termination. Do you remember at what hearing
- 6 you learned that?
- 7 MR. BALSAM: Objection. Relevance. It doesn't matter.
- 8 JUDGE RINGLER: I think it might. So go ahead. You could
- 9 answer it.
- 10 THE WITNESS: I believe it's the second or third.
- 11 BY MS. SNELLING:
- 12 Q. Okay. And in these unemployment hearings, was there a
- 13 court reporter?
- 14 A. Yes.
- 15 Q. Okay. And so was there a transcript?
- 16 A. Yes.
- 17 Q. And did you receive that transcript?
- 18 A. Yes.
- 19 Q. And if I showed you the transcript for the hearings, would
- 20 you recognize them?
- 21 A. I would.
- 22 Q. Okay.
- MS. SNELLING: Okay. So I'm distributing GC-6 and GC-7.
- 24 THE WITNESS: Thank you.
- MS. SNELLING: Okay. Sorry.

- 1 THE WITNESS: Thank you.
- JUDGE RINGLER: That's fine. All right, GC-6 and GC-7.
- 3 BY MS. SNELLING:
- 4 Q. Okay. And so, Vic, what are these documents sitting in
- 5 front of you?
- 6 A. They're the transcripts to the second and third
- 7 unemployment hearings.
- 8 (General Counsel's GC-6 and GC-7 identified)
- 9 Q. Okay. And so were you present for when these transcripts
- 10 were taken?
- 11 A. Yes.
- 12 Q. Okay. And so within these transcripts, are these copies
- 13 complete to the best of your recollection?
- 14 A. Yes.
- 15 O. Okay. And so within these hearings --
- 16 JUDGE RINGLER: Counsel, before you ask more questions,
- 17 how did you obtain copies of the transcript?
- 18 MS. SNELLING: So Vic asked the Department of Labor for
- 19 New York State and --
- JUDGE RINGLER: Okay. So I'll let you. You're going to
- 21 ask questions about it.
- MS. SNELLING: Yes.
- JUDGE RINGLER: Go ahead.
- 24 BY MS. SNELLING:
- 25 Q. So how did you obtain a copy of this transcript?

- 1 A. I requested the transcripts from the Department of Labor.
- 2 Q. Okay. And who did you send them to, if anything, or if
- 3 anyone?
- 4 A. I sent them to Hayes Dolce and the Board.
- 5 Q. Okay. Okay. And how did you receive the transcripts?
- 6 A. In an encrypted email.
- 7 Q. Okay. And who did you receive them from?
- 8 A. The Unemployment Insurance Appeals Board in Brooklyn.
- 9 Q. Okay.

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- MS. SNELLING: Okay. So I'll move for GC Exhibit 6 and 7 to be put into the record or to be admitted.
- 12 JUDGE RINGLER: Any voir dire?
 - MR. BALSAM: Judge, we object to the introduction of these exhibits as unrelated and irrelevant to this current proceeding for substantially the same reasons as you're not going to accept into evidence Judge Rosas' decision. The transcript for this is not helpful. The witness can testify about what transpired there.
 - If this is going to show that the witness was presented a document that had the incorrect date of her separation versus the correct date, which presumably came out during this transcript, she's already testified to that. So I'm not sure what helpful evidence this provides or that it's even admissible in this proceeding.
- MS. SNELLING: Your Honor, I'll be asking further

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1
    questions of Vic to establish why it's extremely helpful.
 2
    can ask that before it's admitted if you'd like or if it's --
         JUDGE RINGLER: So is there information here beyond the
 3
 4
    June 4th date, or is it that you're offering these to show us
 5
    the confirmation of the June 4th?
         MS. SNELLING: Yes, this is - I'm offering to show the
 6
 7
    confirmation of this and then to also just show the
 8
    Respondent's stance on the exact date.
 9
         JUDGE RINGLER:
                          Okay.
10
         MS. SNELLING:
                         Because that was asked in this.
                          I think it's certainly relevant for that
11
         JUDGE RINGLER:
    purpose and I think it's appropriate for them to take judicial
12
    notice of the New York State Agency and their transcript of
13
    their proceedings. So I will admit GC-6 and 7.
14
    (General Counsel's Exhibit 6 and Exhibit 7 are received.)
15
16
         JUDGE RINGLER: But I will caution you Counsel that either
17
    through the witness or certainly in your brief, if there are
    particular provisions of this, I'll read it.
18
19
         MS. SNELLING:
                         Sure.
20
         JUDGE RINGLER: But if there are particular things that
21
    lend themselves to your case --
                               I'll point them out.
22
         MS. SNELLING:
                        Yes.
23
         JUDGE RINGLER: -- then certainly let me know that.
2.4
         MS. SNELLING:
                         I will.
25
         JUDGE RINGLER:
                          Okay.
                                 Thank you.
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- 1 MS. SNELLING: Thank you.
- 2 BY MS. SNELLING:
- 3 Q. Okay. Okay. So you found out that it was because you
- 4 were late on June 4th. Were you late on June 4th?
- 5 A. I believe so.
- 6 Q. Okay. And approximately how late do you believe you were?
- 7 A. 29 minutes.
- 8 Q. Okay. And so prior to being terminated, was there any
- 9 other date that you remember being late to work?
- 10 A. Yes.
- 11 Q. When?
- 12 A. May 26th.
- 13 Q. Okay. And so how late were you?
- 14 A. I believe I was 40 to 45 minutes late.
- 15 Q. Okay. And why were you late on May 6th?
- 16 A. I accidentally overslept.
- 17 Q. Okay. And what shift were you working that day?
- 18 A. In opening.
- 19 Q. Okay. And why were you late on June 4th?
- 20 A. I accidentally overslept.
- 21 Q. Okay. And what shift were you working?
- 22 A. In opening.
- 23 Q. Okay. And what time does an opening shift start?
- 24 A. I don't remember the exact time. Our store hours had
- 25 changed, so I believe it was 5 or 5:30 a.m.

- 1 Q. Okay. And were you ever spoken to about being late?
- 2 A. Yes.
- 3 Q. Okay. And when was that?
- 4 A. A few weeks before I was fired.
- 5 Q. Okay. And what instance were you spoken to about?
- 6 A. I'm not sure.
- 7 Q. Okay. And who spoke to you?
- 8 A. Josie Havens.
- 9 Q. Okay. And how did this conversation start?
- 10 A. She asked me if I was okay.
- 11 Q. Okay.
- 12 A. Because she noticed I had been late and that I was
- typically very early to my shifts. And so she wanted to know
- 14 if I was okay.
- 15 Q. Okay. And what, if anything, did you say?
- 16 A. I said no and that I was feeling overworked and exhausted.
- 17 Q. Okay. And what, if anything, did she say to that?
- 18 A. To the best of my recollection, she just kind of said
- 19 like, oh, okay. Sorry about that. And it ended there.
- 20 Q. Okay. And so at any point in time, did the employer state
- 21 that they terminated you because you were also late on May
- 22 26th?
- 23 A. No.
- 24 Q. Okay. And have you ever heard of someone being terminated
- 25 over one instance of tardiness?

- 1 A. No.
- 2 Q. Okay. And since you said that you had overslept, did you
- 3 do anything to ensure you wouldn't be late in the future?
- 4 A. Yes.
- 5 Q. Okay. And what did you do?
- 6 A. I had requested new availability that would take opening
- 7 out of my availability.
- 8 Q. Okay, great.
- 9 MS. SNELLING: Your Honor, can I have just a moment to
- 10 confirm with my co-counsel?
- 11 JUDGE RINGLER: Absolutely.
- 12 MS. SNELLING: And so just a few more questions, Vic.
- 13 THE WITNESS: Okay.
- JUDGE RINGLER: Go ahead.
- 15 BY MS. SNELLING:
- 16 Q. And you said you overslept. Why did you oversleep?
- 17 MR. BALSAM: Objection. Relevance.
- 18 MS. SNELLING: This is going to why she's late, Your
- 19 Honor.
- 20 MR. BALSAM: It doesn't matter.
- JUDGE RINGLER: Sustained.
- MS. SNELLING: Okay. No further questions, Your Honor.
- JUDGE RINGLER: All right. And then Counsel, I'm going to
- 24 ask you an evidentiary question.
- MS. SNELLING: Okay.

1 JUDGE RINGLER: At some point I'm quessing that you're 2 going to provide evidence of these other employees who were 3 late or that kind of thing, right? Is that a possibility? 4 MS. SNELLING: Yes, Your Honor. And we also subpoenaed 5 comparatives as well. 6 JUDGE RINGLER: Okay. So what I will suggest to you, 7 assuming that they have so many employees and so many stores at 8 issue, that rather than just dropping, hey, all these Excels 9 spreadsheets on me showing people's clocking times and 10 everything else, that you also prepare some kind of summary as 11 well. 12 MS. SNELLING: Okay. 13 JUDGE RINGLER: So that's just a heads up. You don't have 14 to. 15 MS. SNELLING: Okay. 16 JUDGE RINGLER: But it would certainly hone in on where you're coming from with respect to disparate treatment 17 evidence, if any. 18 19 MS. SNELLING: Okay. 20 So that is just a polite suggestion. JUDGE RINGLER: And would that be for the brief, Your 21 MS. SNELLING: 22 Honor, or do you want that --23 JUDGE RINGLER: Well, I think it would be helpful if it 2.4 were an exhibit. 25 MS. SNELLING: Okay.

1 JUDGE RINGLER: I don't know how good you are with Excel, 2 So that's a suggestion of something to just be 3 aware of that would be helpful. Okay. So do we have an affidavit for this one? Oh, I'm sorry. Charging Party 4 5 Counsel, do you have anything you want to ask? 6 MR. DOLCE: Could I just have one second? JUDGE RINGLER: Yeah. 8 MR. DOLCE: Yeah. Just a couple questions. 9 JUDGE RINGLER: Go ahead. 10 DIRECT EXAMINATION BY MR. DOLCE: 11 Vic, you testified before that you were working about 30 12 13 to 35 hours when you started. Was that about the same around 14 this period? I believe so. 15 Α. Yes. 16 At that time or before the Union campaign, did you ever Ο. work shifts where you would close the night before and then 17 18 open the next morning? 19 MR. BALSAM: Objection. Relevance. 20 JUDGE RINGLER: I'll allow it. Go ahead. You can answer. Only if I picked up the shift. 21 THE WITNESS: So like if I 22 picked up a closing that I wasn't scheduled for, I would, but 23 not -- I think I did it maybe once or twice. 2.4 BY MR. DOLCE: 25 Okay. So you just used the word clopen. Ο.

1 clopen?

- 2 A. It's where you close the store and then you open it
- 3 immediately the next morning.
- 4 Q. Okay. And so did you work a clopen the night before that
- 5 you were late that day?
- 6 MR. BALSAM: Objection. Judge, you've already sustained
- 7 my objection about the relevancy of why she was late. Counsel
- 8 for the Union is asking the same question.
- 9 JUDGE RINGLER: I'll allow it. Go ahead.
- THE WITNESS: I don't believe it was a clopen for the 4th,
- 11 but on the 26th I believe it was.
- 12 BY MR. DOLCE:
- 13 Q. Okay. And so were you scheduled for more clopens after
- 14 the Union campaign began than you were before?
- 15 A. Yes.
- 16 MR. BALSAM: Objection. Leading.
- JUDGE RINGLER: I'll sustain also. And also, there's no 8
- 18 (a) (3) allegation that they changed her scheduling. So I
- 19 don't think it's relevant also.
- 20 MR. DOLCE: Okay. Nothing further.
- JUDGE RINGLER: Okay. All right. So I'm sure we've got
- 22 an affidavit for this witness. So how long is our Jencks
- 23 statement for Respondent Counsel?
- MS. SNELLING: Give us one minute, Your Honor, to make
- 25 sure that we have all of them.

1 JUDGE RINGLER: Then once we figure out how long it is, 2 we'll figure out how long the break will take. And we were 3 worried about 4:00 and here we are. First day's always slower. 4 MS. SNELLING: Okay. Your Honor, we have about 10 pages 5 of Jencks statements. 6 JUDGE RINGLER: Okay. 7 MS. SNELLING: And it comes down to three affidavits. 8 JUDGE RINGLER: All right. And I think technically that the recording that she emailed to you is also a Jencks 9 10 statement. Do you have any objection to playing your phone recording for Respondent Counsel? 11 12 THE WITNESS: No. 13 JUDGE RINGLER: Okay. So why don't we do that? listen to it to satisfy yourself that it's the same, and then 14 15 we'll give you a break to look into your affidavits. 16 MS. SNELLING: Okay. 17 JUDGE RINGLER: Does that work? MR. BALSAM: That sounds fair. 18 19 JUDGE RINGLER: Okay. 20 MR. BALSAM: Thank you. 21 JUDGE RINGLER: That is not a problem at all. So we'll go 22 off the record to --23 MS. SNELLING: Well, Your Honor, one last thing is that we 2.4 do have affidavits from prior investigations as well, but it's 25 not to what Vic testified to today. So they're not necessarily

1 relevant to the testimony. If Respondent objects us not 2 handing those over for those reasons, we would request an in-3 camera inspection. 4 JUDGE RINGLER: Okay. Let me look at the prior 5 You're saying from unrelated investigations, affidavits. 6 they're not connected to --7 MS. SNELLING: Yeah, prior investigations from Buffalo 1, 8 the first trial. 9 JUDGE RINGLER: Okay. 10 But they are not pertinent to her testimony MS. SNELLING: 11 today. 12 JUDGE RINGLER: Okay. I understand. And in this trial. 13 MS. SNELLING: 14 JUDGE RINGLER: Okay. Okay. 15 Would you like to look at them? MS. SNELLING: 16 The Respondent was provided those at the first MR. DOLCE: 17 trial. 18 MS. SNELLING: Yeah. And Respondent was provided those at 19 the first trial. 20 We didn't get to keep a copy. MR. BALSAM: You didn't give a copy, so I 21 JUDGE RINGLER: Yeah. 22 I'll look at them. I'll certainly look at them to understand. 23 see if they have any bearing at all. 2.4 MS. SNELLING: Okay. 25 Judge, I feel like this witness did testify MR. BALSAM:

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1
    to issues that occurred in Buffalo 1. So to the extent there's
 2
    Jencks statements relating to issues that occurred in Buffalo
 3
    1, again, that happened many, many months ago. I don't
    remember every single Jencks statement that I looked at.
 4
 5
         JUDGE RINGLER: Understood.
 6
         MR. BALSAM:
                       I'll be entitled to see that again, because I
 7
    do believe this witness testified to issues that popped up in
 8
    Buffalo 1.
 9
         JUDGE RINGLER: Well, so while I'm looking at these --
10
         MR. BALSAM:
                       Sure.
         JUDGE RINGLER: -- we'll have you look at yours that you
11
12
    know you're going to get.
13
         MR. BALSAM:
                       Yep.
14
         JUDGE RINGLER: And also can you -- before you leave,
    we're going to have the witness play the video recording for
15
16
          So if you want to join us up here.
17
         MR. BALSAM:
                       Right now?
         JUDGE RINGLER:
18
                         Yeah.
19
         MR. BALSAM:
                       Okay.
20
         THE WITNESS: Can I get my phone?
21
         JUDGE RINGLER: Yeah.
                                 Of course, you can.
                                                              So
22
    we'll go off the record.
23
    (Off the record from 4:00 p.m. to 4:33 p.m.)
2.4
         JUDGE RINGLER: Okay. We are back on the record.
                                                              So we
25
    can start cross.
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1
         MR. BALSAM:
                       Thanks, Judge.
 2
         JUDGE RINGLER:
                          Yes.
                             CROSS EXAMINATION
 3
 4
    BY MR. BALSAM:
 5
         Ms. Conklin, you said you started working for Starbucks in
    0.
 6
    June of 2017, correct?
 7
    Α.
         Yes.
 8
         And when you started working for Starbucks, were you
    Q.
 9
    issued a partner quide?
10
    Α.
         Yes.
11
         MR. BALSAM:
                       May I approach?
12
         JUDGE RINGLER: Yes, of course.
                       So I didn't mark it.
13
         MR. BALSAM:
                                              Sorry. Amateur hour.
         JUDGE RINGLER: You know what? We'll write on it.
14
                                                                You're
15
           Next one, you'll get the next one.
                                                 That's fine.
16
    everyone just jot R-1 down.
                                   Is it R-1?
                       We'll do RX-01.
17
         MR. BALSAM:
         MS. DUPLECHAIN:
18
                           Two.
19
         MR. BALSAM:
                       Two, because we're going to use our documents
20
    as part of the formal papers that I --
21
         JUDGE RINGLER: Okay.
                                 Okay.
                                         So R-2.
22
         MR. BALSAM: You don't mind just writing on here as R-2.
23
         MS. SNELLING:
                         No.
2.4
     (Respondent's Exhibit 2 is marked.)
25
         JUDGE RINGLER: Thank you.
                                      All right.
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- 1 BY MR. BALSAM:
- Q. Ms. Conklin, you recognize what's been marked as RX-2?
- 3 A. Yes.
- 4 Q. What is RX-2?
- 5 A. It's the partner guide acknowledgement.
- 6 (Respondent's R-2 identified)
- 7 Q. Okay. And this is the document you signed when you
- 8 commenced employment with Starbucks?
- 9 A. I signed this one in 2021.
- 10 Q. Okay. In this document you signed in 2021, this is your
- 11 acknowledgement of receipt of the partner guide?
- 12 A. Yes.
- 13 Q. All right. And what is the partner guide?
- 14 A. It's like the employee handbook for Starbucks.
- 15 Q. And does the employee handbook contain policies and
- 16 procedures relating to things like time attendance?
- 17 A. Yes.
- 18 0. And closing stores?
- 19 A. I'm not quite confident on the closing stores, but I'm
- 20 sure it's in there.
- 21 Q. Okay.
- 22 A. I just don't remember it.
- 23 MR. BALSAM: We'd like to move into evidence RX-2.
- JUDGE RINGLER: Any objection to R-2?
- MS. SNELLING: Yes, Your Honor. This is not the entire

- 1 partner guide, to the best of our knowledge. So we're going to
- 2 object to -- since it's not the entire partner guide, and she's
- 3 -- this is a signed acknowledgement by her of the partner
- 4 guide, and this is not the entire partner guide that we would
- 5 object.
- 6 JUDGE RINGLER: Understood, understood. I'm going to
- 7 admit it.
- 8 MR. BALSAM: Thank you.
- 9 JUDGE RINGLER: Yes. So R-2 is in. So duly noted that we
- 10 don't have the underlying policies, but I'm suspecting that if
- 11 Respondent feels that they want to put them in, they will.
- MR. BALSAM: Thanks, Judge.
- JUDGE RINGLER: So I'll admit that. Yes
- 14 (Respondent's Exhibit 2 is received.)
- 15 BY MR. BALSAM:
- 16 O. Ms. Conklin, where is the partner quide? Is the partner
- 17 guide available for partners to review?
- 18 A. I'm sure it's somewhere on the partner hub, but I haven't
- 19 looked for it before.
- 20 Q. What is the partner hub?
- 21 A. It's a website that Starbucks partners can access to get
- 22 information from the company, like weekly updates, things like
- 23 that.
- Q. Okay. As a partner, are you able to access the partner
- 25 hub at your home?

- 1 A. I think parts of it, but the full site is only accessible
- 2 through the store computer.
- MR. BALSAM: May I approach? This is going to be RX-3.
- 4 JUDGE RINGLER: All righty.
- 5 BY MR. BALSAM:
- 6 Q. Ms. Conklin, do you recognize what has been marked as RX-
- 7 3?
- 8 A. Yes.
- 9 Q. What is RX-3?
- 10 A. It's the confidentiality agreement for the key safe and
- 11 alarm for stores.
- 12 (Respondent's RX-3 identified)
- 13 Q. And what is the key safe and alarm system confidentiality
- 14 agreement for?
- 15 A. That you -- when you receive your key as a key holder,
- 16 you'll only use your key to like open the store, close the
- 17 store, things with the -- things with the store on company time
- 18 and then you won't give out the safe combination code or your
- 19 alarm code to anybody.
- 20 Q. And Ms. Conklin, during your employment with Starbucks,
- 21 you were in fact a key holder, correct?
- 22 A. Yes.
- 23 Q. And what does that mean you're a key holder?
- 24 A. I was -- I had the key that would open and close the store
- and then I had keys that would open and close the registers.

- 1 And I was kind of in charge of the floor.
- 2 Q. And Ms. Conklin, were you made a key holder because you
- 3 were also a shift supervisor?
- 4 A. They're the same thing, kind of interchangeable.
- 5 Q. So when we talk about shift supervisors, those individuals
- 6 necessarily are the ones who maintain the keys to open and
- 7 close the cafes?
- 8 A. Every -- so every shift has a key to the store and then
- 9 the shift that's in charge of the day will be the one holding
- 10 the register keys, but sure.
- 11 Q. And do shift supervisors have any type of management
- 12 responsibilities?
- 13 A. I -- we would control the floor where people were assigned
- 14 place orders, do inventory counts, that kind of thing.
- 15 O. And in the absence of a store manager, would the shift
- 16 supervisors be charged with ensuring the safety and security of
- 17 the store?
- 18 A. Yes.
- 19 Q. And so you in your role as a shift supervisor were
- 20 responsible for securing the safety and security of the store?
- 21 A. Yes.
- 22 Q. In the absence of management?
- 23 A. Yes.
- MR. BALSAM: I'd like to move in into evidence RX-3.
- JUDGE RINGLER: Any objection to R-3?

1 MS. SNELLING: Could I ask a few questions, Your Honor? 2 JUDGE RINGLER: Go ahead. VOIR DIRE 3 4 BY MS. SNELLING: 5 Vic, when you signed this key safe and alarm system 6 confidentiality agreement, was this the only thing that you saw 7 when you signed this? 8 I don't remember honestly. Α. 9 Okay. Ο. 10 MS. SNELLING: We just have concerns that it's not the 11 full agreement similar to the partner guide objection that we had. 12 13 JUDGE RINGLER: All right. So I'll note that for the 14 record. 15 MS. SNELLING: Okay. 16 JUDGE RINGLER: But I will admit R-3. 17 MS. SNELLING: Okay. (Respondent's Exhibit 3 is received.) 18 19 CROSS EXAMINATION (Continued.) 20 BY MR. BALSAM: You testified that you started at Walden Galleria, that's 21 22 where you started? 23 Α. Yes. 2.4 And that you transferred to East Robinson in 2021? Ο. 25 Α. Yes.

- 1 Q. And I believe you said it was an easy process to be
- 2 transferred from Walden to East Robinson; is that correct?
- 3 A. Yes.
- 4 Q. Were you required to fill out any paperwork in order to
- 5 transfer?
- 6 A. No. I don't think so.
- 7 Q. And who was the store manager at Walden Galleria at the
- 8 time of your transfer to East Robinson?
- 9 A. Kayla Gupta Moore.
- 10 Q. And is that individual still employed by Starbucks, to
- 11 your knowledge?
- 12 A. No.
- 13 Q. And who was the district manager at the time that you
- 14 transferred from Walden Galleria to East Robinson in 2021?
- 15 A. I don't know.
- 16 Q. Do you know who the store manager was in 2021?
- 17 A. Of?
- 18 O. At East Robinson?
- 19 A. Kayla.
- 20 Q. Okay. And you testified earlier, I believe, that Kayla
- 21 contacted you and asked you if you wanted to transfer?
- 22 A. Yes.
- 23 Q. How did you know -- did you know Kayla prior to that
- 24 conversation?
- 25 A. Yes, she was my manager at Walden Galleria.

- 1 Q. Okay. So at some point prior to that, Kayla had
- 2 supervised you?
- 3 A. Yes.
- 4 Q. And she transferred to East Robinson?
- 5 A. Yes.
- 6 Q. Okay. Do you know if Kayla had connected with her DM
- 7 regarding your transfer prior to your transfer?
- 8 A. I have no idea.
- 9 Q. Now, you testified that you tried to transfer from East
- 10 Robinson to Williamsville in February of '22, correct?
- 11 A. Yes.
- 12 Q. Okay. And that you had a conversation with your store
- manager -- I'm sorry, with the district manager Greta Case at
- 14 the time?
- 15 A. Yes.
- 16 O. Okay. And that you testified that Greta Case told you
- 17 that due to business operation, the lack of -- I'm sorry, that
- 18 Greta told you as a result of operation issues that you were
- 19 not able to transfer to that location you wanted to, correct?
- 20 A. Yes.
- 21 Q. Did Greta say that you were not being transferred because
- 22 of your support for the Union?
- 23 A. No.
- Q. And you did testify that Greta told you that you could
- 25 fill out paperwork to transfer, correct?

- 1 A. Yes.
- 2 Q. But you didn't do that, right?
- 3 A. No.
- 4 Q. Did you ask Greta why she had asked you to fill out a
- 5 transfer request form in order to transfer?
- 6 A. No.
- 7 Q. Did you ask her -- did you tell her that prior to your
- 8 request to transfer from East Robinson to Williamsville Place
- 9 that you had previously transferred without the need to fill
- 10 out any paperwork?
- 11 A. No.
- 12 Q. So that conversation never occurred at all?
- 13 A. I don't believe so. No.
- 14 Q. Are you aware of a Starbucks policy that requires
- individuals seeking to transfer to complete transfer paperwork?
- 16 A. I was only made aware of it when Greta said something
- 17 about it before that I didn't know how transferring actually
- 18 worked.
- 19 Q. Okay. So in February of '22, you were made aware of the
- 20 fact that if you wanted to transfer, you had to fill out
- 21 paperwork?
- 22 A. Yes.
- 23 Q. And notwithstanding the fact that you were told that you
- 24 had to fill out paperwork, you still decided not to do that?
- 25 A. Yes.

- 1 Q. Okay. So in fact, you've never actually submitted a
- 2 request to transfer.
- MS. SNELLING: Objection. Asked and answered.
- 4 JUDGE RINGLER: Overruled. You can answer.
- 5 THE WITNESS: Can you repeat the question? I'm sorry.
- 6 BY MR. BALSAM:
- 7 Q. Sure. By virtue of not completing paperwork, you actually
- 8 never submitted a request to transfer to a different location,
- 9 correct?
- 10 A. I guess not.
- 11 Q. And likewise, the discussion that you had with Tracy, are
- 12 you referring to Tracy Des Jardins (ph)?
- 13 A. Yes.
- 14 Q. Okay. Tracy Des Jardins was a district manager?
- 15 A. Yes.
- 16 0. That came after Greta Case?
- 17 A. Yes.
- 18 | Q. And similar to my question about Greta Case, Tracy did
- 19 tell you to fill out a transfer request form, correct?
- 20 A. They told me I could.
- 21 Q. All right. You testified that you didn't do that,
- 22 correct?
- 23 A. No.
- Q. Even though you knew at that time that you had to fill out
- 25 that paperwork in order to make a transfer request?

- 1 A. Yes.
- 2 Q. Okay. So in March of '22, you did not in fact ever make a
- 3 request to transfer to a different store because you did not
- 4 fill out the necessary paperwork, correct?
- 5 A. I did not fill out the form. Correct.
- 6 Q. And so you didn't make a request to transfer because you
- 7 didn't do that, correct?
- 8 A. I guess not.
- 9 Q. And did Greta Case or Tracy Des Jardins say anything
- 10 negative to you about your affiliation or support for the
- 11 Union?
- 12 A. Not that I can recall.
- 13 O. You testified that there was some limitation for
- 14 individuals seeking to transfer who had disciplinary
- 15 infractions. What do you mean by that?
- 16 A. I had just heard from other partners that like they
- 17 wouldn't be able to transfer because they were on a final or
- 18 something like that.
- 19 Q. Do you know if there's a Starbucks policy that speaks to
- 20 that?
- 21 A. I'm not aware of one.
- MR. BALSAM: May I approach?
- JUDGE RINGLER: Yes.
- 24 BY MR. BALSAM:
- 25 Q. This will be RX-4. Ms. Conklin, are you familiar or do

- 1 you recognize RX-4?
- 2 A. Yes.
- 3 Q. Okay. And RX-4 is the time and attendance and requesting
- 4 time off memo that was issued to you in February of 2019,
- 5 correct?
- 6 A. Yes.
- 7 Q. Okay. And is that your signature on the bottom left
- 8 corner?
- 9 A. It is.
- MR. BALSAM: Okay. I'd like to move into evidence RX-4.
- JUDGE RINGLER: Any objection to R-4?
- MS. SNELLING: Yes, I actually have a question, Your
- 13 Honor.
- JUDGE RINGLER: Okay.
- 15 VOIR DIRE
- 16 BY MS. SNELLING:
- 17 Q. Vic, up at the top right corner, it looks like there is
- 18 something there. Do you know what that says?
- 19 A. It kind of looks like it says Vicky.
- 20 Q. Okay. And did you go by that at work?
- 21 A. My manager used to call me that, yes.
- 22 Q. Okay.
- MS. SNELLING: No objection then, Your Honor.
- JUDGE RINGLER: All right. So we're going to admit R-4.
- 25 (Respondent's Exhibit 4 is identified and received.)

CROSS EXAMINATION (Continued.)

2 BY MR. BALSAM:

1

- 3 Q. Ms. Conklin, in 2019 when you received this document, the
- 4 document made clear to you that Starbucks expected regular and
- 5 timely attendance, correct?
- 6 A. Yes.
- 7 Q. And in 2019 was well before the first petition was filed
- 8 in the Buffalo market, correct?
- 9 A. Yes.
- 10 Q. And prior to the filing of the petition in the Buffalo
- 11 market, the first petition in the Buffalo market in August of
- 12 2021, were you aware of individuals who were being held
- accountable for failing to come to work on time?
- 14 A. Yes. Yeah.
- 15 Q. And Ms. Conklin, you said you were disciplined in 2017,
- 16 2019, and 2022?
- 17 A. Yes.
- 18 Q. What were you discipline for in 2017?
- 19 A. A partner at another store said that they had heard a
- 20 rumor from me that my store manager was sleeping with one of
- 21 the baristas at my store.
- 22 Q. And what was the result of that issue? Did you get a
- 23 coaching or final written warning?
- 24 A. Just a regular written warning.
- 25 Q. And what about in 2019?

- 1 A. I was a newly promoted shift supervisor and I forgot to do
- 2 the temps one night.
- 3 Q. And what is the temps?
- 4 A. It's you go around to all of the fridges and make sure
- 5 that the fridges are within the food safe temperature range and
- 6 freezers too.
- 7 Q. What'd you get for that?
- 8 A. A documented coaching.
- 9 MR. BALSAM: May I approach?
- 10 JUDGE RINGLER: Yes.
- 11 BY MR. BALSAM:
- 12 Q. Ms. Conklin, I'm showing you what's been marked as RX-5.
- 13 Do you recognize RX-5?
- 14 A. Yes.
- 15 | O. What is RX-5?
- 16 A. It looks like from what I can make out, it's the page from
- 17 the partner resource guide about attendance and punctuality.
- 18 (Respondent's RX-5 identified)
- 19 Q. Okay. And is that your signature on the bottom left
- 20 corner?
- 21 A. It is.
- 22 Q. Okay. And similar to RX number 4, this document reaffirms
- 23 Starbucks' expectations that its partners come to work on time?
- 24 A. Yes.
- 25 Q. And by virtue of your signature, you understood that to be

1 the case, correct? 2 Α. Yes. MR. BALSAM: I'd like to move this into evidence, RX-5. 3 JUDGE RINGLER: So I think ultimately I'll admit R-5, but 4 5 candidly, besides her signature, most of it is not legible. 6 Can you provide an RX-5 later or tomorrow that has a clean copy 7 attached to the back that's unsigned? 8 MR. BALSAM: So Judge, this is direct copy of the document that's maintained in Ms. Conklin's personnel file. 9 10 JUDGE RINGLER: Right. No, I understand that. But there 11 must be -- I mean, I'm sure employees are signing a clean copy 12 of this at some point. Do you have that? 13 MR. BALSAM: Yeah. So to the extent that we can find 14 another copy, we will do that. We will be introducing later 15 the partner guide that contains this doc --16 JUDGE RINGLER: Okay. So you're introducing the partner 17 quide that'll have this. MR. BALSAM: 18 Correct. 19 JUDGE RINGLER: Is it a revised version or is it's going 20 to have this? Yeah, it's the same. 21 MR. BALSAM: It's going to be the 22 same version but it won't have Ms. Conklin's signature. 23 JUDGE RINGLER: Right. 2.4 MR. BALSAM: This is just basically --25 JUDGE RINGLER: Of course, of course. I realize this is

1 the one she signed. I was just concerned that a lot of this is But if you're representing, you're 2 awfully tough to read. going to provide the partner guide that's going to have this on 3 4 that basis, I'll admit R-5. 5 MR. BALSAM: Okay. 6 JUDGE RINGLER: Okay. 7 (Respondent's Exhibit 5 is received.) 8 JUDGE RINGLER: So just please make a note of that and 9 make sure that we've got that -- that we get that in there. 10 MR. BALSAM: Judge, I mean, we have an electronic version 11 of this that -- forget it. I was going to say it looks better 12 but it's not. 13 JUDGE RINGLER: Okay. Okay. 14 MS. SNELLING: And Your Honor, did you want to hear my 15 objections on this or? 16 JUDGE RINGLER: I already admitted it. So if you want to 17 state your objection for the record, you can. But it has been admitted. 18 19 Yeah, just your similar statement MS. SNELLING: Okay. 20 that it is difficult to read. And then also just that it's from the partner resources manual. I think I read that at the 21 22 And I don't think that this is the full resource manual. 23 So that is just our objection to note for the record. 2.4 JUDGE RINGLER: Okay. 25 MS. SNELLING: Thank you.

- 1 JUDGE RINGLER: Thank you. Yes.
- 2 BY MR. BALSAM:
- 3 Q. And Ms. Conklin, by virtue of signing this document, you
- 4 understood that for situations where you violated or any
- 5 partner violated the time attendance and punctuality policy,
- 6 that they could be subject to discipline up to and including
- 7 termination, correct?
- 8 A. Yes.
- 9 Q. Okay. You testified earlier that when stores are shut
- down that there are certain doors that needed to be locked and
- 11 you didn't include the drive-through as part of your
- 12 description. Would the drive-through window also need to be
- locked when the stores are shut down at the end of the day?
- 14 A. Yes.
- 15 0. Or when you decide to leave and go out for a strike?
- 16 A. Yes.
- 17 Q. And what is the reason for or the requirement that all the
- 18 doors be shut and locked?
- 19 A. I think so nobody breaks in.
- 20 Q. With respect to the discipline that you were issued, the
- 21 final written warning, the -- with respect to the final written
- 22 warning that you were issued for your actions and/or inactions
- 23 on May 7, '22, had you ever in your past failed to properly
- 24 secure cash when closing stores?
- 25 A. I'm sure I'd forgotten once or twice.

- 1 Q. Did anyone at management, were they aware of the fact that
- 2 you forgot to do that?
- 3 A. I can assume so if it was written in the Daily Records
- 4 book.
- 5 Q. Which manager was aware of that?
- 6 A. I wouldn't -- I wouldn't be able to tell you that.
- 7 Q. When did you previous to May 7, '22 leave cash unsecured
- 8 and closed till drawers?
- 9 A. I wouldn't be able to tell you a specific time.
- 10 Q. What about leaving drive-through windows unlocking and
- 11 unsecured overnight?
- 12 A. I'm sure I forgot to do that when we first opened East
- 13 Robinson.
- 14 Q. When?
- 15 A. Sometime in the spring of 2021.
- 16 Q. And was the manager aware of you not walking and securing
- 17 the drive-through the window overnight?
- 18 A. I'm sure if it was written in the book, they were.
- 19 Q. In this book that you're referring to, where is this book
- 20 located?
- 21 A. I don't know of it's exact whereabouts right now, but
- 22 typically it's kept in the store.
- 23 Q. And who was the manager? I'm sorry. Who was the manager
- 24 that may have observed you leaving the drive-through window
- 25 unlocked, unsecured overnight?

- 1 A. Kayla Gupta Moore.
- 2 Q. And is Kayla still employed by Starbucks?
- 3 A. No.
- 4 Q. Now, you mentioned that when you first became a shift
- 5 supervisor in East Robinson that you had left the drive-through
- 6 window unlocked and unsecured overnight, right?
- 7 A. I'm sure I did, yes.
- 8 Q. And you said that it was a learning curve that you had,
- 9 correct?
- 10 A. Yes.
- 11 Q. But in May 7, '22, you had been to the East Robinson for
- 12 nearly a year, correct?
- 13 A. Yes.
- 14 Q. And would you consider yourself an experienced shift
- 15 supervisor?
- 16 A. Yes.
- 17 Q. That an experienced shift supervisor who shouldn't leave
- 18 cash unsecured in the till drawer?
- 19 A. Yes.
- 20 Q. And one who should not leave drive-through windows
- 21 unlocked overnight?
- 22 A. Yes.
- 23 Q. And you mentioned that you told another barista to lock
- 24 the door -- lock the drive-through before you left, correct?
- 25 A. Yes.

- 1 Q. Who was the person you told to lock the drive-through?
- 2 A. Her name was Avery.
- 3 Q. What's Avery's last name?
- 4 A. I think it's Cardinal (ph).
- 5 Q. Cardinal. Did you ever -- but you didn't follow up to
- 6 ensure that the drive-through was locked even after giving that
- 7 instruction to Avery, correct?
- 8 A. No.
- 9 Q. So ultimately, it falls on you as being your fault for not
- 10 securing Starbucks company property and cash, correct?
- 11 A. Yes.
- 12 Q. I believe you said that there were other individuals who
- may have done that also. Who are these individuals that may
- 14 have done the same infraction that you did?
- 15 A. His name was Jeff Thompson.
- 16 0. And who was Jeff Thompson?
- 17 A. He transferred over with me from the Walden Galleria kiosk
- 18 to East Robinson. He was the shift supervisor.
- 19 Q. And when did Jeff Thompson fail to secure cash in the
- 20 closed till drawer?
- 21 A. I couldn't give you an exact date.
- 22 Q. How do you know that that occurred then?
- 23 A. I remember it being a pattern of behavior with him.
- Q. But how do you know that and can't remember the dates if
- 25 it was a pattern?

- 1 A. I wasn't taking note of when Jeff left the cash unsecured
- 2 in the tills. I just remember coming in, in the morning
- 3 frequently and hearing that the cash was left in the drawer
- 4 overnight.
- 5 Q. Who'd you hear that from?
- 6 A. Other shift supervisors.
- 7 Q. Who are the shift supervisors that you heard that from?
- 8 A. Typically Kayla Disorbo or Beth Royer.
- 9 Q. But as we sit here today, you have never actually seen the
- 10 cash that Jeff purportedly left in the drawer, correct?
- 11 A. No.
- 12 Q. Overnight. So everything you're testifying to is hearsay
- 13 based on what others told you?
- 14 A. Sure. Yes.
- 15 Q. And what about leaving the drive-through windows unlocked
- and unsecured overnight? Did anyone else, to your knowledge,
- 17 do that?
- 18 A. I can't remember an exact instance of that right now.
- 19 Q. That's not my question. My question is, did anyone else,
- 20 to your knowledge, do that?
- 21 A. I don't know.
- 22 Q. Yes or no?
- MS. SNELLING: Your Honor, objection. Asked and answered.
- JUDGE RINGLER: Yeah. I think she says she doesn't know,
- 25 which is really akin to you saying you're not aware of somebody

else. Is that correct?

THE WITNESS: Yes.

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JUDGE RINGLER: Okay. She indicated she's not aware of anybody else.

MR. BALSAM: Judge, right. But on direct she indicated that she was aware of other individuals. So I'm trying to confirm that.

JUDGE RINGLER: Okay. So now you've got what you'll allege to be an inconsistency in testimony. So if it says that, I'll certainly take a look at that.

MR. BALSAM: And Judge, with your clarifying request for the witness to answer, we did get the information. But based on my questioning, the witness was not answering my question.

JUDGE RINGLER: Understood. And that's why I asked the initial question to kind of streamline it a little bit.

MR. BALSAM: Yep. Thank you.

17 JUDGE RINGLER: You're welcome.

- 18 BY MR. BALSAM:
- 19 Q. Now, with respect to the final written warning that was
- 20 issued to you, you don't disagree with the allegations in
- 21 there?
- 22 A. No.
- 23 Q. Correct? In fact, you did exactly what Starbucks accused
- 24 you of doing.
- 25 A. Yes.

- 1 Q. And based on documentation that we've already reviewed,
- 2 this type of misconduct justifies issuance of a final written
- 3 warning, correct?
- 4 A. Sure.
- 5 MR. BALSAM: May I approach?
- 6 JUDGE RINGLER: Yes.
- 7 BY MR. BALSAM:
- 8 Q. Ms. Conklin, I'm showing you what's been marked as RX-6.
- 9 Do you recognize RX-6?
- 10 A. Yes.
- 11 Q. Okay. And RX-6 is a copy of your final written warning
- 12 that has been signed by both Josie Havens, Sebastian Garcia and
- 13 yourself, correct?
- 14 A. Yes.
- 15 (Respondent's RX-6 identified)
- 16 MR. BALSAM: Judge, I'd like to move into evidence a
- 17 complete copy of the final written warning that Ms. Conklin
- 18 received on May 25th, '22.
- 19 JUDGE RINGLER: Any objection to R-6?
- 20 MS. SNELLING: No objection.
- JUDGE RINGLER: All right. So we'll admit R-6, signed
- 22 copy.
- 23 (Respondent's Exhibit 6 is received.)
- 24 BY MR. BALSAM:
- 25 Q. So Ms. Conklin, based on what we heard and what you

- 1 testified to before, you seem to have viewed Ms. Havens as a
- 2 friend; is that correct?
- 3 A. I don't know that I would use the term friend, but we were
- 4 friendly.
- 5 Q. Okay. And that with respect to at least your notice of
- 6 separation, you told her that you were disappointed in her and
- 7 that you thought she was better than this?
- 8 A. I did.
- 9 Q. Why do you then believe that Josie Havens issued you a
- 10 final written warning because of your Union support?
- 11 A. Because I don't believe that other people would've been
- 12 written up for the same thing.
- 13 Q. Right. But you testified that you weren't certain that
- 14 other people were not written up for the same thing. In fact,
- 15 you couldn't identify a single other person that wasn't written
- 16 up for both infractions that are named in this particular final
- 17 written warning, correct?
- 18 A. Yes.
- 19 Q. All right. So you have no idea if other people have been
- 20 written up for the same infractions.
- 21 A. That's fair to say. Yeah.
- 22 Q. And that Ms. Havens gave you no indication at all
- 23 throughout your interactions with her that she had harbored any
- 24 anti-Union animus and issued this because of your affiliation
- 25 with the Union, correct?

- 1 A. Yes.
- 2 | Q. Correct you have no knowledge or belief that she issued
- 3 this to you because of your support for the Union, correct?
- 4 A. I don't think that I can speak to Josie's view of my Union
- 5 support.
- 6 Q. But it never gave you an impression that she harbored any
- 7 animus toward you because of your affiliation with the Union or
- 8 support for the Union?
- 9 A. Not directly, no.
- 10 Q. How do you know that she had indirectly harbored Union
- 11 animus for you?
- 12 A. Josie was one of the people flown in by Starbucks after
- the Union campaign started. So my understanding is that she
- 14 was there to surveil the Union activity at East Robinson.
- 15 O. So is it possible Ms. Havens came in to do a job for
- 16 Starbucks and manage a store?
- 17 A. It's possible.
- 18 O. Okay. Ms. Conklin, why didn't you submit a partner
- 19 statement in response to the final written warning?
- 20 A. I don't know.
- 21 O. Okay. And on the final written warning below the section
- 22 where there's the box that says documented coaching, written
- 23 warning, final written warning, there's a statement. And it
- 24 says, "This form will be maintained permanently in your partner
- 25 file. There's no guarantee that you'll receive a minimum

1 number of warnings prior to separation of employment or that 2 corrective action will occur in any set manner or order". You 3 see that? 4 Α. Yes. 5 All right. And you understood by that statement that it Ο. 6 was possible for you to receive additional discipline for other 7 infractions, correct? 8 Α. Yes. JUDGE RINGLER: Now, Ms. Conklin, if you recall, and I'm 9 10 focused on the date where -- we're focused on the cash register monies being locked or not, and then drive-through window. 11 12 Were you the only shift supervisor on shift at that time? there other shift supervisors? 13 Somebody else had opened the store, but I 14 THE WITNESS: think that she had clocked out before we walked out on strike. 15 16 JUDGE RINGLER: Okay. So when you went on strike, it's 17 your understanding you were the sole shift supervisor? THE WITNESS: That's what I can remember. It was just me. 18 19 JUDGE RINGLER: Okay. And there was not an assistant 20 store manager or store manager in the store that day? 21 THE WITNESS: No. 22 JUDGE RINGLER: Okay. 23 BY MR. BALSAM: 2.4 Ms. Conklin, did you ever appeal the final written warning Ο.

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that was issued to you?

- 1 A. No.
- 2 Q. Is there a process at Starbucks whereby you can challenge
- 3 the issuance of corrective action form?
- 4 A. Yes.
- 5 Q. Yes?
- 6 A. Yes.
- 7 Q. Okay. But you decided not to utilize that process?
- 8 A. I wasn't fully aware of it at the time I was written up.
- 9 Q. When did you become aware of it?
- 10 A. In the FAQ packet I was given after I was terminated, it
- 11 has the phone number you can call.
- 12 Q. So when you're issued a corrective action form, that's not
- a termination, there's no mention to the partner that receives
- 14 it, that there's an ability to challenge the issuance of the
- 15 final written warning?
- 16 A. I don't remember being told that.
- 17 Q. And just to clarify what the Judge asked you, you were the
- 18 only key holder at the store on the date that you failed to
- 19 close the drive-through and also properly secure the cash,
- 20 correct?
- 21 A. Somebody else opened the store but then clocked out before
- 22 we walked out on strike. Yes.
- 23 Q. So at the time that you were supposed to have engaged in
- 24 the conduct by which you were ultimately disciplined, you were
- 25 the only key holder on site at the store, correct?

1 Α. Yes. Yep. 2 JUDGE RINGLER: Now, was there another shift supervisor that was scheduled to join you later in the shift? 3 Somebody else was scheduled to close. 4 THE WITNESS: Yes. 5 JUDGE RINGLER: And who was scheduled to close? THE WITNESS: Her name is Denasia Starks? 6 7 JUDGE RINGLER: Denasia Starks? 8 THE WITNESS: Yes. It's D-e-n-a-s-i-a and then Starks. 9 JUDGE RINGLER: Now, did Denasia join you on the picket 10 line at some point? THE WITNESS: No, but I had called her and asked if she 11 wanted to sign the strike letter and she said yes. 12 13 JUDGE RINGLER: All right, thank you. THE WITNESS: Uh-huh (affirmative). 14 15 And she did not appear at this store? JUDGE RINGLER: 16 THE WITNESS: Not that I remember, no. 17 JUDGE RINGLER: Okay. BY MR. BALSAM: 18 19 You testified that you saw on Josie Haven's phone, the 0.

- 20 lock screen of her phone, a photo that you took of the strike.
- 21 A. Yes.
- 22 Q. On what day did you see that?
- 23 A. I don't know the exact day.
- 24 Q. Where were you located when you saw that picture on her
- 25 phone?

- 1 A. She was sitting at the end of the bar in the store, and I
- 2 was standing next to her talking to her.
- 3 Q. You said that Josie told you that the strike signs hurt
- 4 her feelings?
- 5 A. Yes.
- 6 Q. What about the strike signs hurt her feelings?
- 7 A. Specifically the one that says, "Bring back the care for
- 8 partners".
- 9 Q. And beyond that response, did you have any further
- 10 dialogue about that with Ms. Havens?
- 11 A. Not that I recall.
- 12 Q. Okay. So Ms. Conklin, on June 4th, 2022, you were in fact
- 13 29 minutes late to your shift, correct?
- 14 A. I was.
- 15 Q. And on that particular day, you were scheduled to open,
- 16 correct?
- 17 A. Yes.
- 18 O. And what happened as a result of you failing to report on
- 19 time to work?
- 20 A. I -- there were baristas waiting in the parking lot and I
- 21 believe we opened the store on time, but we were incredibly
- 22 rushed in getting things ready for the day.
- 23 Q. And since you've testified that you have opened stores in
- 24 the past, right, and on that particular day you were supposed
- 25 to, what happens once the store is open for the day? What's

- 1 the process to get the store ready?
- 2 A. For a shift supervisor or barista? Because they're
- 3 different.
- 4 Q. Both.
- 5 A. The shift supervisor would count the tills that are in the
- 6 safe, put them in the registers, count the safe, and then
- 7 baristas you start the oven, start the espresso machines, get
- 8 the sanitizer out, do iced tea, iced coffee, hot coffee, and
- 9 then prepare any backups that they couldn't get to the night
- 10 before.
- 11 Q. And what time were you were supposed to be at the store on
- 12 that particular day?
- 13 A. I don't remember the exact time I was supposed to be
- 14 there.
- 15 Q. Okay. And how long does the process take to get the store
- 16 ready for the day once you actually physically open the store?
- 17 A. You're scheduled 30 minutes. It usually doesn't take that
- 18 long if you have experience, barista is doing it.
- 19 Q. Okay. And that particular day, did you get the store
- 20 ready within 30 minutes?
- 21 A. 30 minutes? Like the 30 minutes after I had gotten there?
- 22 Q. Correct.
- 23 A. Yes.
- 24 Q. Now, for the partners who arrived waiting for you to open
- 25 the door to the cafe, were they compensated for that 30 minutes

- in which they weren't working?
- 2 A. I'm not sure.
- 3 Q. Were you paid for the 30 minutes that you weren't working?
- 4 A. No.
- 5 Q. So by logic it suggests that the other individuals were
- 6 not paid either?
- 7 MS. SNELLING: Objection. He's asking for her to
- 8 speculate.
- 9 JUDGE RINGLER: Sustained. She doesn't know.
- 10 BY MR. BALSAM:
- 11 Q. Do you have any role in approving the hours of baristas
- 12 who were working under you during a shift?
- 13 A. Like --
- JUDGE RINGLER: Approving hours? I'm not sure I
- 15 understand. You mean scheduling baristas?
- MR. BALSAM: I'll rephrase.
- 17 JUDGE RINGLER: Okay.
- 18 BY MR. BALSAM:
- 19 Q. Do you have any involvement in approving the punch clocks,
- 20 punches for the baristas who come to the store for compensation
- 21 purposes?
- 22 A. No.
- MR. BALSAM: May I approach?
- JUDGE RINGLER: Uh-huh (affirmative).
- 25 BY MR. BALSAM:

- 1 Q. Ms. Conklin, you've been handed what's marked as RX-7. Do
- 2 you recognize RX-7?
- 3 A. Yes.
- 4 Q. What is RX-7?
- 5 A. My notice of separation.
- 6 Q. And at the bottom left corner where it says partner
- 7 signature, whose signature is that?
- 8 A. Mine.
- 9 Q. Okay.
- MR. BALSAM: Judge, I'd like to move into evidence RX-7,
- 11 which is a complete copy of Ms. Conklin's notice of separation,
- 12 including her signature.
- JUDGE RINGLER: Any objection to R-7?
- MS. SNELLING: No objection.
- 15 JUDGE RINGLER: Okay. R-7 is admitted.
- 16 (Respondent's Exhibit 7 is identified and received.)
- 17 BY MR. BALSAM:
- 18 O. Now, Ms. Conklin, in your direct examination, you noted
- 19 that you did not work on June 24th, 2022, correct?
- 20 A. Yes.
- 21 Q. Okay. But you did work on June 4th, '22, correct?
- 22 A. I did.
- 23 Q. Okay. And on June 4th, '22, you were 29 minutes late,
- 24 correct?
- 25 A. Yes.

- 1 Q. Okay. And you were issued the notice of separation on
- 2 June 22nd, '22, correct?
- 3 A. Yes. Yes.
- 4 Q. The only thing that's inaccurate about this notice of
- 5 separation is the June 24th date, right?
- 6 A. Yes.
- 7 Q. Okay. Is it possible that Starbucks individuals who
- 8 drafted this document did a clerical error when they noted the
- 9 24th and not the 4th?
- 10 A. Yes.
- 11 Q. Okay. And again, you testified earlier that you were
- aware upon receipt of this that you could appeal this decision
- 13 to Starbucks, correct?
- 14 A. Yes. When they gave me the FAQs.
- 15 Q. Right. Did you appeal this decision to Starbucks?
- 16 A. No.
- 17 Q. Did you challenge the inconsistency or the incorrect date
- 18 on the notice of separation with anyone at Starbucks?
- 19 A. No.
- 20 Q. Right, because you agree that you in fact were late by 29
- 21 minutes on June 4th, '22, correct?
- 22 A. I agree that I was late.
- 23 Q. But you agree as we sit here today, we're not disagreeing
- that you were in fact late on June 4th, '22, correct?
- 25 A. We're not.

- 1 Q. And Starbucks management also told you that you could
- 2 appeal this decision if you wanted to when you were issued the
- 3 notice of separation, correct?
- 4 A. Yes.
- 5 Q. And still, you decided not to challenge it?
- 6 A. No, I didn't.
- 7 MR. BALSAM: I'd like to move into evidence RX-7.
- 8 JUDGE RINGLER: It's admitted.
- 9 MR. BALSAM: Did I already do that, Judge. I'm sorry.
- JUDGE RINGLER: You did, right. You're fine.
- 11 BY MR. BALSAM:
- 12 Q. Now, you testified that you later learned in an
- unemployment insurance hearing that the date on the separation
- 14 notice was incorrect; is that correct?
- 15 A. Yes.
- 16 Q. And you also testified that you had appeared on three
- 17 dates for the unemployment insurance hearing, correct?
- 18 A. Uh-huh (affirmative).
- 19 O. You were here earlier when Counsel for the General Counsel
- 20 introduced into evidence transcripts to two dates. Do you know
- 21 what happened to the third date?
- 22 A. I did not receive a transcript from it. The only thing I
- 23 did was ask the judge for more time so that I could get an
- 24 attorney.
- 25 Q. Do you know if that was transcribed?

- 1 A. I wouldn't know. This is what they sent me.
- JUDGE RINGLER: So when you make a request like this, you
- 3 just request your unemployment insurance hearing transcripts?
- 4 THE WITNESS: Yes.
- JUDGE RINGLER: And you asked for it generally, and then
- 6 you got back these two dates.
- 7 THE WITNESS: Yes.
- 8 JUDGE RINGLER: Okay.
- 9 BY MR. BALSAM:
- 10 Q. You testified that you were also late on May 6th, '22,
- 11 correct?
- 12 A. 26th.
- 13 Q. May 26th?
- 14 A. Yes.
- 15 Q. Okay. How late were you on May 26th?
- 16 A. Between 40 and 45 minutes.
- 17 Q. Okay. And was that for an opening shift?
- 18 A. I was scheduled at the time the store opened, so I didn't
- 19 do the first half hour of prepping the store, but I was
- 20 supposed to be there clocked in when the store opened while --
- 21 Q. Okay. Were you the only shift supervisor who was working
- 22 that day?
- 23 A. No.
- 24 Q. Who was the other shift supervisor?
- 25 A. Kayla Disorbo.

- 1 Q. And you said that you were not disciplined for being late
- 2 on that day?
- 3 A. No.
- 4 Q. Do you know why?
- 5 A. No idea.
- 6 Q. Did anyone speak to you as to why you were not
- 7 disciplined?
- 8 A. I had the conversation with Josie that I testified about
- 9 earlier, but I'm not sure if that was in reference to May 26th
- 10 or June 4th.
- 11 Q. Okay. On May 26th, did Kayla have the key to open the
- 12 cafe prior to it --
- 13 A. Yes.
- 14 Q. Prior to the start of the day?
- 15 A. Yes.
- 16 Q. So the fact that you were late really was not an issue for
- 17 the rest of the partners and the operations of the store,
- 18 correct?
- 19 A. No.
- 20 Q. So the subsequent date of your tardiness, which did have
- 21 operational challenges as a result, is different from the May
- 22 26th incident, correct?
- 23 A. Yes.
- 24 Q. The circumstances are different?
- 25 A. Yes.

- 1 Q. Now, you testified about changing your availability.
- 2 A. Uh-huh (affirmative).
- 3 Q. When did you change your availability?
- 4 A. I'm not sure an exact date.
- 5 Q. Was it before May 26th?
- 6 A. No.
- 7 Q. Okay.
- 8 A. I don't believe so.
- 9 MR. BALSAM: One moment, Judge.
- 10 JUDGE RINGLER: Okay.
- 11 BY MR. BALSAM:
- 12 Q. Ms. Conklin, on the date May 26th when you were late, what
- operational issues were caused as a result of you being late to
- 14 work on any given day?
- 15 A. If you were the key holder, that means the key holder
- 16 before you can't go home. And if you're a barista, it means
- 17 that the floor is short staffed until you get there.
- 18 Q. Ms. Conklin, do you know if the store was short staffed on
- 19 May 26th prior to your arrival -- prior to your late arrival?
- 20 A. I don't know.
- MR. BALSAM: Nothing further, Judge.
- JUDGE RINGLER: Was there an objection?
- MS. SNELLING: Just speculation, but she said I don't
- 24 know, so it's fine.
- JUDGE RINGLER: Okay.

- 1 MR. BALSAM: I've nothing further.
- JUDGE RINGLER: And you're squared away? Okay. Anything
- 3 on redirect?
- 4 MS. SNELLING: Yes, Your Honor.
- 5 REDIRECT EXAMINATION
- 6 BY MS. SNELLING:
- 7 Q. Okay, Vic. So you testified that you didn't fill out any
- 8 paperwork when you requested the transfer or requested to
- 9 transfer from, I think it was Tracy and then also Greta.
- 10 A. Right.
- 11 Q. Okay. So what, if any, verbal request did you make to
- 12 transfer?
- MR. BALSAM: Objection. Witness already testified to
- 14 that.
- 15 JUDGE RINGLER: Sustained. There was a lot of testimony
- 16 about the prior verbal requests.
- 17 MS. SNELLING: Okay. Just want to make sure it's clear,
- 18 Your Honor.
- 19 BY MS. SNELLING:
- 20 Q. And you testified that you transferred prior from one
- 21 store to the other.
- 22 A. Uh-huh (affirmative).
- 23 Q. When did you make that transfer from --
- MR. BALSAM: Asked and answered. The witness already
- 25 testified that she wasn't sure of the date.

1 MS. SNELLING: That's I think --2 JUDGE RINGLER: Sustained. Sustained. 3 BY MS. SNELLING: 4 Your first transfer from Walden Galleria to East Ο. 5 Robinson, was that before the Buffalo Union campaign? 6 MR. BALSAM: Objection. Asked and answered. 7 JUDGE RINGLER: I'll allow it just to move things forward. 8 Go ahead. You can answer. 9 THE WITNESS: Yes, it was. 10 MS. SNELLING: Okay. Sorry. BY MS. SNELLING: 11 12 And Vic, you talked about the cash being unsecured. Q. Okay. Uh-huh (affirmative). 13 Α. If someone broke into the store, how would someone be able 14 Q. 15 to get into the till the way that you had left it when you went 16 on strike? Objection. Calls for speculation. 17 MR. BALSAM: can't testify to what a potential burglar would be able to do -18 19 20 JUDGE RINGLER: Well, she already answered it. I think I asked you a question about it, and you talked about somebody 21 22 prying open the cash register. Do you recall that? 23 THE WITNESS: Yeah, we did. We talked about it. 2.4 JUDGE RINGLER: Okay. So asked and answered. 25 Okay. Hold on one moment, Your Honor. MS. SNELLING:

1 JUDGE RINGLER: Okay. Of course. 2 BY MS. SNELLING: 3 And Vic, we'll turn to your final written warning that you Ο. So you agree that -- do you agree with receiving 4 received. 5 this final written warning? 6 MR. BALSAM: Objection. Vaque. 7 JUDGE RINGLER: You received this final written warning, 8 correct? THE WITNESS: I did receive it. 9 10 JUDGE RINGLER: All right. BY MS. SNELLING: 11 12 Do you agree with being disciplined for what you were Q. disciplined for on this day? 13 MR. BALSAM: Objection. 14 Irrelevant. That's different. You kind of asked her 15 JUDGE RINGLER: 16 something related to that. So you can answer. Go ahead. THE WITNESS: 17 I don't agree. BY MS. SNELLING: 18 19 Okay. And why not? 0. 20 Because I'm very confident that I had accidentally left a Α. drawer in the register overnight, as had many of my coworkers. 21 22 And that, although I can't give specific dates on that, I'm

also very sure that I have not heard of anybody being put on a

final written warning for leaving a till out unless it was a

pattern of behavior that was happening very consistently.

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- 1 that's not what was happening with me.
- 2 Q. Okay. For your notice of separation, you don't
- 3 necessarily have to look at it, but you testified that you
- 4 didn't appeal the separation?
- 5 A. No.
- 6 Q. Why didn't you appeal it?
- 7 MR. BALSAM: Objection. Asked and answered.
- JUDGE RINGLER: I don't think she said that. So go ahead.
- 9 MR. BALSAM: She did.
- 10 THE WITNESS: I didn't appeal it because I thought that my
- 11 appeal would be denied.
- 12 BY MS. SNELLING:
- 13 Q. Okay. And why did you think that?
- 14 A. Because I felt that I had been fired in retaliation for
- 15 Union activity and I didn't think that the company would give
- 16 me my job back if I asked -- I asked them to.
- 17 Q. Okay. Okay. And so then also you testified that on June
- 18 4th you came in late, correct?
- 19 A. Uh-huh (affirmative).
- 20 Q. And you were the key holder that day?
- 21 A. I was.
- 22 Q. Okay. And so were any customers not served as a result of
- 23 you being late that day?
- MR. BALSAM: Objection. Irrelevant.
- JUDGE RINGLER: I'll allow it. You could answer. You're

- 1 saying no?
- THE WITNESS: I don't -- not that I can recall, no.
- 3 BY MS. SNELLING:
- 4 Q. Okay. And also you testified you being late on June 4th
- 5 and then on May 26th, those circumstances were slightly
- 6 different; is that correct?
- 7 A. Yes.
- 8 Q. Okay. And how late were you on May 26th?
- 9 A. 40 to 45 minutes.
- 10 Q. Okay. And then on June 4th you were how late?
- 11 A. 29 minutes.
- 12 Q. Okay. And what day were you -- what instance of you being
- 13 late were you terminated for?
- 14 A. June 4th.
- 15 Q. Okay.
- 16 MS. SNELLING: Okay. No further questions, Your
- 17 Honor.
- 18 JUDGE RINGLER: Okay.
- 19 MR. DOLCE: I just have really quick.
- 20 JUDGE RINGLER: Sure.
- 21 BY MR. DOLCE:
- 22 Q. So you're scheduled 30 minutes before your shift is
- 23 supposed to begin?
- 24 A. No. You're scheduled 30 minutes before the store opens
- 25 for business.

- 1 Q. 30 minutes. And then, so you were 29 minutes late that
- 2 morning?
- 3 A. Yes.
- 4 Q. So you were there before the store was supposed to open
- 5 that morning?
- 6 A. Yes.
- 7 Q. Because you were 29 minutes late when you clocked in the
- 8 system, correct?
- 9 A. Yes.
- 10 Q. So you would've opened the door before you clocked in,
- 11 correct?
- 12 A. Yes.
- 13 Q. And do you remember that morning if other partners had
- 14 clocked in before you?
- 15 MR. BALSAM: Objection. The witness testified the other
- 16 parties could not have clocked in because she wasn't there.
- 17 JUDGE RINGLER: Sustained.
- 18 BY MR. DOLCE:
- 19 Q. Sorry, let me -- it was a bad question. After you had
- 20 opened the door -- because when you opened the door to the
- 21 restaurant, do you automatically clock in --
- 22 A. No.
- 23 Q. -- or are those two different acts?
- 24 A. Two different acts.
- 25 Q. And so from the time you opened the door to when you

- 1 clocked in the shift, do you remember if any other partners had
- 3 A. I told the baristas that I was there with to clock in
- 4 before me.

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- 5 Q. So other baristas clocked in before you had clocked in?
- 6 A. Yes.
- 7 Q. When you opened the store that morning, did you re-lock
- 8 the doors when you were setting up or did you leave them open
- 9 for customers?
- MR. BALSAM: Objection. Relevance.

clocked in before you were able to?

- JUDGE RINGLER: I'll sustain. I'll sustain, because it
- 12 really gets to the bottom line that an employer, if they want
- 13 to schedule their employees two hours before the store opens or
- 14 four hours, that you're late if -- and I understand you're
- 15 saying for practical purposes they didn't lose business. I
- 16 understand that. You made your argument in that regard.
- 17 MR. DOLCE: Nothing further.
- 18 JUDGE RINGLER: Okay.
- MR. BALSAM: Judge, I have follow-up.
- 20 JUDGE RINGLER: Go ahead.
- 21 MR. BALSAM: Thanks.
- 22 RECROSS EXAMINATION
- 23 BY MR. BALSAM:
- 24 O. When you transferred from Walden Galleria to East
- 25 Robinson, you didn't solicit the transfer. You were contacted

- 1 and asked to transfer, correct?
- 2 A. I had mentioned that I wanted to transfer to the East
- 3 Robinson store, but the final determination kind of thing was a
- 4 phone call from my manager.
- 5 Q. Asking if you were to --
- 6 A. Asking if I was sure I wanted to transfer, yes.
- $7 \mid Q$. And was East Robinson a new store at that time?
- 8 A. Yes.
- 9 Q. Now, you testified when you were asked on a redirect
- 10 whether you disagreed with the final written warnings in the
- 11 separation, right?
- 12 A. Yes.
- 13 Q. You said you did.
- 14 A. I did.
- 15 Q. Right. But you don't disagree with the substance of why
- 16 you were terminated? You were issued a final written warning.
- 17 MS. SNELLING: Objection. Asked and answered.
- JUDGE RINGLER: Well, I'll sustain the objection. But I
- 19 will note for the record, she is admitting the underlying
- 20 conduct, right? She's saying I was late on June the 4th and at
- 21 that point, it means what it means. If everyone gets
- 22 disciplined for coming in late once, then that supports your
- 23 argument, right?
- If there's a lot of employees that come in late and
- 25 nothing happens or they don't get fired 20 times, whatever,

```
1
    then that probably detracts from it. So I think the way I
 2
    perceive the lateness issue is given that the underlying
    conduct was admitted, probably a lot of it's going to fall down
 3
 4
    to the disparate treatment piece if it is or if it's not.
                                                                 So
 5
    that's my perspective on that.
 6
         MR. BALSAM:
                      Understood.
         JUDGE RINGLER:
                         Yes.
 8
         MR. BALSAM:
                      I have nothing else.
 9
         JUDGE RINGLER: Okay. All right.
                                             Right. You're squared
10
    away as well, I'm assuming?
11
         MS. SNELLING:
                        Yes, Your Honor.
12
         JUDGE RINGLER: Okay, very good.
                                            So thank you. Your lead
13
    up I know was difficult. I appreciate your testimony.
14
         THE WITNESS:
                        Thank you.
15
         JUDGE RINGLER: You are excused. You're very welcome.
                                                                   Ι
16
    only ask you not to discuss your testimony with anyone.
         THE WITNESS: Of course.
17
         JUDGE RINGLER: You can just leave them up there and just
18
19
    turn them over because maybe a different witness might use
20
    those.
                       There's something on the back of this one
21
         THE WITNESS:
22
    I'm going to -- hold on, there's on the back -- okay.
23
         JUDGE RINGLER: Okay, then.
2.4
         THE WITNESS: Now I'm off.
25
         JUDGE RINGLER:
                          Okay.
```

1 THE WITNESS: Thank you. 2 All right. So it's 5:30 and JUDGE RINGLER: Thank you. 3 I'm assuming you don't have a -- yes, go ahead. 4 I have a subpoena to give to the witness MR. BALSAM: 5 before she leaves unless Mr. Dolce wishes to accept service on 6 our behalf. 7 JUDGE RINGLER: Okay. 8 MR. DOLCE: We'll accept service. 9 JUDGE RINGLER: Subpoena connected to the video? 10 MR. BALSAM: Any and all recordings that this witness may 11 have. 12 JUDGE RINGLER: Okay. Okay. Your Honor, I would only -- I assume we're 13 MR. GOODE: 14 still on the record. I would only object that the record has 15 I think the rule states that any subpoenas at this 16 point need to be asked for and provided by Your Honor, 17 regardless of whether they were issued by the region before the 18 hearing opened. The hearing is now opened and subpoenas have 19 to come from --20 JUDGE RINGLER: Yeah. He's allowed to issue a subpoena. 21 So I'm indicating that I'll permit it. Now, if there's 22 argument, a petition to revoke or whatever, that's a different 23 story, but --2.4 Okay. Just want to note that for the record, MR. GOODE: 25 Your Honor.

```
1
         JUDGE RINGLER:
                          I mean, I could initial it, but I don't
 2
                               So I'll --
    think I need to do that.
         MR. DOLCE: You can email Scott --
 3
                       I mean, if you're willing to accept service
 4
         MR. BALSAM:
 5
    by email of all the subpoenas in relation to the individuals
 6
    who'll be testifying, then I'm happy to do that if it is
 7
    easier.
             Let me know.
 8
         MR. DOLCE:
                      I'll let you know.
 9
         MR. BALSAM:
                       Okay.
10
         JUDGE RINGLER:
                          Okay, so we've got that covered.
    are squared away in terms of this witness. I'm assuming you
11
12
    don't have a number two hitter that's a shorter witness.
13
         MR. GOODE:
                      I don't, Your Honor. I'm sorry.
14
         JUDGE RINGLER:
                          Okay.
                                 That's fine.
                                                That's fine.
                                                              So
    we'll reconvene tomorrow at 9:00 and we'll continue then with
15
16
    our first witness of the day. So thanks so much.
17
         MR. BALSAM:
                       Thank you, Judge.
18
         MS. SNELLING:
                         Thank you.
19
         JUDGE RINGLER: We're off the record.
20
     (Whereupon, at 5:56 p.m., the hearing in the above-entitled
21
22
    matter was recessed to reconvene on Tuesday, April 18, 2023 at
23
    9:00 a.m.)
2.4
25
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CERTIFICATION

This is to certify that the attached proceedings before the National Labor Relations Board (NLRB), Region 3, in the matter of Starbucks Corporation, Case No. 03-CA-295810, at Buffalo, New York, on Monday, April 17, 2023, was held according to the record, and that this is the original, complete, and true and accurate transcript that has been compared to the recording from the hearing, that the exhibits are complete and no exhibits received in evidence or in the rejected file are missing.

2.4

Rhett Baker

Rhett Baker

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